

Ref: AA/TL-36/03079

Date: October 13, 2016

**The National Stock Exchange
Of India Limited,
Exchange Plaza,
5th Floor, Plot No. C/1, G Block,
Bandra-Kurla Complex,
Bandra (E), Mumbai - 400 051**

Company's Scrip Code: THERMAX EQ

Sub: Shareholding Pattern of the Company for the quarter ended September 30, 2016

Ref: Regulation 31 (1) (b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir,

With reference to the above and as per the SEBI circular no. CIR/CFD/CMD/13/2015 dated November 30, 2015, we enclose the Shareholding Pattern for the Equity Share Capital of the Company for the quarter ended September 30, 2016.

The Company shall also post the above shareholding pattern on its website.

You are requested to kindly take the same on record.

Thanking you,

Yours faithfully,
FOR **THERMAX LIMITED**,



Amit Atre
Company Secretary



Encl: As above

(Heaps)

Format of Holding of Specified securities

1. Name of Listed Entity: **THERMAX LIMITED**
2. Scrip Code/Name of Scrip/Class of Security: **THERMAX EQ**
3. Share Holding Pattern Filed under: **Reg.31(1)(b)**
 - a. if under 31(1)(b) then indicate the report for quarter ending 30/09/2016
 - b. if under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares		√
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		√
c	Whether the Listed Entity has any shares against which depository receipts are issued?		√
d	Whether the Listed Entity has any shares in locked-in?		√
e	Whether any shares held by promoters are pledge or otherwise encumbered?		√

*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:

SHP quarter ended 30.09.2016 (Neaps)

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares (IV)	No. of Partly paid-equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. (calculated per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+ C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: y	Total								
	Promoter & Promoter Group	3	73855305	0	0	73855305	61.98	73855305	0	73855305	61.98	0	0	0	0	73855305		
	Public	29161	38759555	0	0	38759555	32.53	38759555	0	38759555	32.53	0	0	0	0	38168200		
	Non Promoter- Non Public	51	6541440	0	0	6541440	5.49	6541440	0	6541440	5.49	0	0	0	0	2906250		
	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
	Shares held by Employee Trusts	51	6541440	0	0	6541440	5.49	6541440	0	6541440	5.49	0	0	0	0	2906250		
	Total	29215	119156300	0	0	119156300	100	119156300	0	119156300	100	0	0	0	0	114929755		

Category & Name of the Shareholders (i)	PAN (ii)	No. of sharehold older (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
								Class X	Class Y	Total								
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Individuals/Hindu undivided Family		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
b	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
c	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
d	Any Other (specify)		3	73855305	0	0	73855305	61.98	73855305	0	73855305	61.98	0	61.98	0	0	0	73855305
	BODIES CORPORATE		3	73855305	0	0	73855305	61.98	73855305	0	73855305	61.98	0	61.98	0	0	0	73855305
	RDA HOLDINGS PRIVATE LIMITED	AAACR9831K1	1	64328500	0	0	64328500	53.99	64328500	0	64328500	53.99	0	53.99	0	0	0	64328500
	ARA TRUSTEESHIP PRIVATE LIMITED	AACTR3403N1	1	9520805	0	0	9520805	7.99	9520805	0	9520805	7.99	0	7.99	0	0	0	9520805
	Pheroj Pudumjee	AAJPP7065R	1	6000	0	0	6000	.01	6000	0	6000	.01	0	.01	0	0	0	6000
	Sub-Total (A)(1)		3	73855305	0	0	73855305	61.98	73855305	0	73855305	61.98	0	61.98	0	0	0	73855305
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		3	73855305	0	0	73855305	61.98	73855305	0	73855305	61.98	0	61.98	0	0	0	73855305

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Share of dividend % calculated as per SCRR, 1957 As a % of (A+B+C) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrant)	Total shareholding, as a % assuming full conversion of convertible securities (as a)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Mutual Funds/		63	10029234	0	0	10029234	8.42	10029234	0	10029234	8.42	0	8.42	0	0			10029234
	ICICI prudential Business Cycle Fund Series 1	AAAAI0038F	6	1903981	0	0	1903981	1.6	1903981	0	1903981	1.6	0	1.6	0	0			1903981
	SBI MAGNUM GLOBAL FUND	AABTS6407Q	6	3371174			3371174	2.83	3371174		3371174	2.83		2.83					3371174
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Foreign Portfolio Investors		109	19050511	0	0	19050511	15.99	19050511	0	19050511	15.99	0	15.99	0	0			19050511
	AMANSA HOLDING PRIVATE LIMITED	AAKCA7237L	1	1730328	0	0	1730328	1.45	1730328	0	1730328	1.45	0	1.45	0	0			1730328
	GOLDMAN SACHS INDIA FUND LIMITED	AADCG0260C	1	1574917	0	0	1574917	1.32	1574917	0	1574917	1.32	0	1.32	0	0			1574917
	MATTHEWS PACIFIC TIGER FUND	AABTM2313F	1	5310034	0	0	5310034	4.46	5310034	0	5310034	4.46	0	4.46	0	0			5310034
	PINEBRIDGE INVESTMENTS INDIA LIMITED	AAHCA7302F	1	1547488	0	0	1547488	1.3	1547488	0	1547488	1.3	0	1.3	0	0			1547488
f	Financial Institutions/ Banks		10	238423	0	0	238423	.2	238423	0	238423	.2	0	.2	0	0			238423
g	Insurance Companies		2	1793990	0	0	1793990	1.51	1793990	0	1793990	1.51	0	1.51	0	0			1793990
	LIFE INSSURANCE CORPORATION INDIA	AAACL0582H	1	1768720	0	0	1768720	1.48	1768720	0	1768720	1.48	0	1.48	0	0			1768720
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(1)		184	31112158	0	0	31112158	26.12	31112158	0	31112158	26.12	0	26.12	0	0			31112158
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals -		27497	6570920	0	0	6570920	5.51	6570920	0	6570920	5.51	0	5.51	0	0	0	0	5986065
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		27496	6258420	0	0	6258420	5.25	6258420	0	6258420	5.25	0	5.25	0	0			5673565
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		1	312500	0	0	312500	.26	312500	0	312500	.26	0	.26	0	0			312500
b	NBFCs registered with RBI		6	45505	0	0	45505	.04	45505	0	45505	.04	0	.04	0	0			45505
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)		1474	1030972	0	0	1030972	.87	1030972	0	1030972	.87	0	.87	0	0			1024472
	BODIES CORPORATE		521	695288	0	0	695288	.58	695288	0	695288	.58	0	.58	0	0			691288
	CLEARING MEMBERS		67	18171	0	0	18171	.02	18171	0	18171	.02	0	.02	0	0			18171
	NON RESIDENT INDIAN NON REPATRIABLE	0	219	191396			191396	.16	191396		191396	.16		.16					191396
	NON RESIDENT INDIANS		665	125987	0	0	125987	.11	125987	0	125987	.11	0	.11	0	0			123487
	TRUSTS		2	130	0	0	130	0	130	0	130	0	0	0	0	0			130
	Sub-Total (B)(3)		28977	7647397	0	0	7647397	6.42	7647397	0	7647397	6.42	0	6.42	0	0			7056042
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		29161	38759555	0	0	38759555	32.54	38759555	0	38759555	32.54	0	32.54	0	0			38168200

Category & Name of the Shareholders (i)	PAN (ii)	No. of shareh older (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos. of shares underlying Depositor Receipts (vi)	Total no. shares held (vii = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Total shareholdin g, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerializ ed form (xiv) (Not Applicable)		
		No of Voting Rights			Total as a % of Total Voting rights														
		Class X	Class Y	Tot al															
1	Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	51	6541440	0	0	6541440	5.49	6541440	0	6541440	5.49	0	5.49	0	0			2906250	
	ARNAVAZ ROHINTON AGA	AAATT5146N	0	2906250	0	0	2906250	2.44	2906250	0	2906250	2.44	0	2.44	0	0			2906250
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)		51	6541440	0	0	6541440	5.49	6541440	0	6541440	5.49	0	5.49	0	0	0	0	2906250