

**THERMAX LIMITED** THERMAX HOUSE, 14 MUMBAI PUNE ROAD, WAKDEWADI,  
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Website : www.thermaxglobal.com☐ IT PAN - AAAC 3910D  
Customer Care : 18002090115 (India Toll Free)

Corporate Finance



Ref: KPP/TL-36/03153  
Date: October 12, 2017

**The National Stock Exchange  
Of India Limited,  
Exchange Plaza,  
5th Floor, Plot No. C/1, G Block,  
Bandra-Kurla Complex,  
Bandra (E), Mumbai – 400 051**

**Company's Scrip Code: THERMAX EQ**

**Sub: Shareholding Pattern of the Company for the quarter ended  
September 30, 2017**

**Ref: Regulation 31 (1) (b) of the SEBI (Listing Obligations and  
Disclosure Requirements) Regulations, 2015**

Dear Sir,

With reference to the above and as per the SEBI circular no. CIR/CFD/CMD/13/2015 dated November 30, 2015; we enclose the Shareholding Pattern for the Equity Share Capital of the Company for the quarter ended September 30, 2017.

The Company shall also post the above shareholding pattern on its website.

You are requested to kindly take the same on record.

Thanking you,

Yours faithfully,  
FOR **THERMAX LIMITED**,

A handwritten signature in black ink, appearing to read 'KPP Phadke'.

*Kedar Phadke  
Company Secretary*

Encl: As above

**Table I - Summary Statement holding of specified securities**

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
								(IX)					(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)			(XIII)	
								No of Voting Rights	Total as a % of (A+B+C)						No. (a)	As a % of total Shares held (b)		No. (a)	As a % of total Shares held (b)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) (A+B+C2)	Class eg: X	Class eg: y	Total	(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)				
A	Promoter & Promoter Group	3	7,38,55,305	0	0	7,38,55,305	61.98	7,38,55,305	0	7,38,55,305	61.98	0	61.98	0	0	0	0	7,38,55,305	
B	Public	27,282	3,87,59,555	0	0	3,87,59,555	32.53	3,87,59,555	0	3,87,59,555	32.53	0	32.53	0	0	0	0	3,81,93,075	
C	Non Promoter- Non Public																		
C1	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
C2	Shares held by Employee Trusts	51	65,41,440	0	0	65,41,440	5.49	65,41,440	0	65,41,440	5.49	0	5.49	0	0	0	0	29,06,250	
	<b>Total</b>	<b>27,336</b>	<b>11,91,56,300</b>	<b>0</b>	<b>0</b>	<b>11,91,56,300</b>	<b>100</b>	<b>11,91,56,300</b>	<b>0</b>	<b>11,91,56,300</b>	<b>100</b>	<b>0</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>11,49,54,630</b>	



**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Category & Name of shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								Class eg: X	Class eg: y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
								No of Voting Rights			Total as a % of Total Voting right		As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)			
								Class eg: X	Class eg: y	Total		No. (a)						
1 Indian																		
a Individuals/Hindu undivided Family		1	6,000	0	0	6,000	0.01	6,000	0	6,000	0.01	0	0.01	0	0			6,000
Pheroze Pudumjee	AAJPP7065R		6,000	0	0	6,000	0.01	6,000	0	6,000	0.01	0	0.01	0	0			6,000
b Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d Any Other (specify)		2	7,38,49,305	0	0	7,38,49,305	61.98	7,38,49,305	0	7,38,49,305	61.98	0	61.98	0	0			7,38,49,305
Body Corporate		2	7,38,49,305	0	0	7,38,49,305	61.98	7,38,49,305	0	7,38,49,305	61.98	0	61.98	0	0			7,38,49,305
RDA HOLDINGS PRIVATE LIMITED	AAACR9831K	1	6,43,28,500	0	0	6,43,28,500	53.99	6,43,28,500	0	6,43,28,500	53.99	0	53.99	0	0			6,43,28,500
ARA TRUSTEESHIP PRIVATE LIMITED	AACTR3403N	1	95,20,805	0	0	95,20,805	7.99	95,20,805	0	95,20,805	7.99	0	7.99	0	0			95,20,805
Sub-Total (A)(1)		3	7,38,55,305	0	0	7,38,55,305	61.98	7,38,55,305	0	7,38,55,305	61.98	0	61.98	0	0	0	0	7,38,55,305
2 Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
b Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>		<b>3</b>	<b>7,38,55,305</b>	<b>0</b>	<b>0</b>	<b>7,38,55,305</b>	<b>61.98</b>	<b>7,38,55,305</b>	<b>0</b>	<b>7,38,55,305</b>	<b>61.98</b>	<b>0</b>	<b>61.98</b>	<b>0</b>	<b>0</b>			<b>7,38,55,305</b>



Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								(IX)	(X)	(XI)			(XII)	(XIII)	(XIV)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =(IV)+(V)+ (VI)	(VIII)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)		
								No of Voting Rights			Total as a % of Total Voting right	No. (a)	As a % of total Shares held (b)	No. (Not Applicable) (a)	As a % of total Shares held (Not Applicable) (b)		
								Class eg:X	Class eg:y	Total							
1 Institutions																	
a Mutual Funds/		50	1,00,64,922	0	0	1,00,64,922	8.45	1,00,64,922	0	1,00,64,922	8.45	0	8.45	0	0		1,00,64,922
UTI-MAHILA UNIT SCHEME	AAATU1088L	13	14,03,984	0	0	14,03,984	1.18	14,03,984	0	14,03,984	1.18	0	1.18	0	0		14,03,984
SBI MAGNUM MULTIPLIER FUND	AABTS6407Q	6	41,01,973	0	0	41,01,973	3.44	41,01,973	0	41,01,973	3.44	0	3.44	0	0		41,01,973
b Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
c Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
d Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
e Foreign Portfolio Investors		111	1,79,03,847	0	0	1,79,03,847	15.03	1,79,03,847	0	1,79,03,847	15.03	0	15.03	0	0		1,79,03,847
MATTHEWS PACIFIC TIGER FUND	AABTM2313F	1	53,10,034	0	0	53,10,034	4.46	53,10,034	0	53,10,034	4.46	0	4.46	0	0		53,10,034
GOLDMAN SACHS INDIA FUND LIMITED	AADCG0260C	1	16,08,532	0	0	16,08,532	1.35	16,08,532	0	16,08,532	1.35	0	1.35	0	0		16,08,532
PINEBRIDGE INVESTMENTS GF MAURITIUS LIMITED	AAHCA7302F	1	27,92,488	0	0	27,92,488	2.34	27,92,488	0	27,92,488	2.34	0	2.34	0	0		27,92,488
f Financial Institutions/ Banks		13	6,35,004	0	0	6,35,004	0.53	6,35,004	0	6,35,004	0.53	0	0.53	0	0		6,35,004



g	Insurance Companies		2	17,93,990	0	0	17,93,990	1.51	17,93,990	0	17,93,990	1.51	0	1.51	0	0	17,93,990
	LIFE INSURANCE CORPORATION INDIA	AAACL0582H	1	17,68,720	0	0	17,68,720	1.48	17,68,720	0	17,68,720	1.48	0	1.48	0	0	17,68,720
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(1)		176	3,03,97,763	0	0	3,03,97,763	25.51	3,03,97,763	0	3,03,97,763	25.51	0	25.51	0	0	3,03,97,763
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals																
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		25,536	56,82,655	0	0	56,82,655	4.77	56,82,655	0	56,82,655	4.77	0	4.77	0	0	51,22,675
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		1	3,12,500	0	0	3,12,500	0.26	3,12,500	0	3,12,500	0.26	0	0.26	0	0	3,12,500
b	NBFCs registered with RBI		8	43,840	0	0	43,840	0.04	43,840	0	43,840	0.04	0	0.04	0	0	43,840
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)																
	Trust		2	120	0	0	120	0	120	0	120	0	0	0	0	0	120
	Non-Resident Indian (NRI)		610	1,22,387	0	0	1,22,387	0.1	1,22,387	0	1,22,387	0.1	0	0.1	0	0	1,19,887
	Clearing member		146	82,216	0	0	82,216	0.07	82,216	0	82,216	0.07	0	0.07	0	0	82,216
	Non-Resident Indian (NRI) (Non Repatriable)		313	4,01,086	0	0	4,01,086	0.34	4,01,086	0	4,01,086	0.34	0	0.34	0	0	4,01,086
	Body Corporate		490	17,16,988	0	0	17,16,988	1.44	17,16,988	0	17,16,988	1.44	0	1.44	0	0	17,12,988
	Sub-Total (B)(3)		27,106	83,61,792	0	0	83,61,792	7.02	83,61,792	0	83,61,792	7.02	0	7.02	0	0	77,95,312
	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)</b>		27,282	3,87,59,555	0	0	3,87,59,555	32.53	3,87,59,555	0	3,87,59,555	32.53	0	32.53	0	0	3,81,93,075



**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category & Name of shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V) + (VI)	(VIII)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)		
								No of Voting Rights					No. (a)	As a % of total Shares held (b)	No. (Not Applicable) (a)	As a % of total Shares held (Not Applicable) (b)		
								Class eg: X	Class eg: y	Total	Total as a % of Total Voting right							
1 Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		51	65,41,440	0	0	65,41,440	5.49	65,41,440	0	65,41,440	5.49	0	5.49	0	0		29,06,250	
ARNAVAZ ROHINTON AGA (Shares held on behalf of ESOP Trust)	AAATT5146N	1	29,06,250	0	0	29,06,250	2.44	29,06,250	0	29,06,250	2.44	0	2.44	0	0		29,06,250	
<b>Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)</b>		51	65,41,440	0	0	65,41,440	5.49	65,41,440	0	65,41,440	5.49	0	5.49	0	0	0	0	29,06,250

