

THERMAX LIMITED THERMAX HOUSE, 14 MUMBAI PUNE ROAD, WAKDEWADI,
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Website : www.thermaxglobal.com☐ IT PAN - AACT 3910D
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Corporate Finance



Ref: SPL/TL-36/03119

Date: July 06, 2017

**The National Stock Exchange
Of India Limited,
Exchange Plaza,
5th Floor, Plot No. C/1, G Block,
Bandra-Kurla Complex,
Bandra (E), Mumbai – 400 051**

Company's Scrip Code: THERMAX EQ

**Sub: Shareholding Pattern of the Company for the quarter ended June
30, 2017**

**Ref: Regulation 31 (1) (b) of the SEBI (Listing Obligations and
Disclosure Requirements) Regulations, 2015**

Dear Sir,

With reference to the above and as per the SEBI circular no. CIR/CFD/CMD/13/2015 dated November 30, 2015; we enclose the Shareholding Pattern for the Equity Share Capital of the Company for the quarter ended June 30, 2017.

The Company shall also post the above shareholding pattern on its website.

You are requested to kindly take the same on record.

Thanking you,

Yours faithfully,
FOR **THERMAX LIMITED**,

A handwritten signature in black ink, appearing to read 'Sudhir Lale', written over a horizontal line.

Sudhir Lale
Compliance Officer

Encl: As above

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
								(IX)					(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)			(XIII)	
								Class eg: X	Class eg: y	Total					No. (a)	As a % of total Shares held (b)		No. (a)	As a % of total Shares held (b)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) (A+B+C2)				(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)		
								No of Voting Rights					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)			
								Class eg: X	Class eg: y	Total	Total as a % of (A+B+C)								
A	Promoter & Promoter Group	3	7,38,55,305	0	0	7,38,55,305	61.98	7,38,55,305	0	7,38,55,305	61.98	0	61.98	0	0	0	0	7,38,55,305	
B	Public	27,039	3,87,59,555	0	0	3,87,59,555	32.53	3,87,59,555	0	3,87,59,555	32.53	0	32.53	0	0	0	0	3,81,85,575	
C	Non Promoter- Non Public																		
C1	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
C2	Shares held by Employee Trusts	51	65,41,440	0	0	65,41,440	5.49	65,41,440	0	65,41,440	5.49	0	5.49	0	0	0	0	29,06,250	
	Total	27,093	11,91,56,300	0	0	11,91,56,300	100	11,91,56,300	0	11,91,56,300	100	0	100	0	0	0	0	11,49,47,130	

A Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																		
Category & Name of shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights	Total as a % of Total Voting right				No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)				(IX)	(X)					(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)
								Class eg: X	Class eg:y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
1 Indian																		
a Individuals/Hindu undivided Family		1	6,000	0	0	6,000	0.01	6,000	0	6,000	0.01	0	0.01	0	0			6,000
Pheroze Pudemjee	AAJPP7065R		6,000	0	0	6,000	0.01	6,000	0	6,000	0.01	0	0.01	0	0			6,000
b Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d Any Other (specify)		2	7,38,49,305	0	0	7,38,49,305	61.98	7,38,49,305	0	7,38,49,305	61.98	0	61.98	0	0			7,38,49,305
Body Corporate		2	7,38,49,305	0	0	7,38,49,305	61.98	7,38,49,305	0	7,38,49,305	61.98	0	61.98	0	0			7,38,49,305
RDA HOLDINGS PRIVATE LIMITED	AAACR9831K	1	6,43,28,500	0	0	6,43,28,500	53.99	6,43,28,500	0	6,43,28,500	53.99	0	53.99	0	0			6,43,28,500
ARA TRUSTEESHIP PRIVATE LIMITED	AACTR3403N	1	95,20,805	0	0	95,20,805	7.99	95,20,805	0	95,20,805	7.99	0	7.99	0	0			95,20,805
Sub-Total (A)(1)		2	7,38,55,305	0	0	7,38,55,305	61.98	7,38,55,305	0	7,38,55,305	61.98	0	61.98	0	0	0	0	7,38,55,305
2 Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
b Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		3	7,38,55,305	0	0	7,38,55,305	61.98	7,38,55,305	0	7,38,55,305	61.98	0	61.98	0	0			7,38,55,305

B Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					No. (a)	As a % of total Shares held (b)	No. (Not Applicable) (a)	As a % of total Shares held (Not Applicable) (b)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)					(XII)	(XIII)
								Class eg:X			Class eg:y			Total				
1 Institutions																		
a Mutual Funds/		53	92,07,913	0	0	92,07,913	7.73	92,07,913	0	92,07,913	7.73	0	7.73	0	0			92,07,913
UTI-MAHILA UNIT SCHEME	AAATU1088L	14	15,41,587	0	0	15,41,587	1.29	15,41,587	0	15,41,587	1.29	0	1.29	0	0			15,41,587
SBI MAGNUM GLOBAL FUND	AABTS6407Q	6	34,62,274	0	0	34,62,274	2.91	34,62,274	0	34,62,274	2.91	0	2.91	0	0			34,62,274
b Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e Foreign Portfolio Investors		110	1,93,02,981	0	0	1,93,02,981	16.20	1,93,02,981	0	1,93,02,981	16.20	0	16.20	0	0			1,93,02,981
MATTHEWS PACIFIC TIGER FUND	AABTM2313F	1	53,10,034	0	0	53,10,034	4.46	53,10,034	0	53,10,034	4.46	0	4.46	0	0			53,10,034
GOLDMAN SACHS INDIA FUND LIMITED	AADCG0260C	1	16,08,532	0	0	16,08,532	1.35	16,08,532	0	16,08,532	1.35	0	1.35	0	0			16,08,532
PINEBRIDGE INVESTMENTS GF MAURITIUS LIMITED	AAHCA7302F	1	28,92,488	0	0	28,92,488	2.43	28,92,488	0	28,92,488	2.43	0	2.43	0	0			28,92,488
f Financial Institutions/ Banks		10	3,69,215	0	0	3,69,215	0.31	3,69,215	0	3,69,215	0.31	0	0.31	0	0			3,69,215

g	Insurance Companies		2	17,93,990	0	0	17,93,990	1.51	17,93,990	0	17,93,990	1.51	0	1.51	0	0	17,93,990
	LIFE INSSURANCE CORPORATION INDIA	AAACL0582H	1	17,68,720	0	0	17,68,720	1.48	17,68,720	0	17,68,720	1.48	0	1.48	0	0	17,68,720
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(1)		175	3,06,74,099	0	0	3,06,74,099	25.75	3,06,74,099	0	3,06,74,099	25.75	0	25.75	0	0	3,06,74,099
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals																
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		25,450	58,63,924	0	0	58,63,924	4.92	58,63,924	0	58,63,924	4.92	0	4.92	0	0	52,96,444
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		1	3,12,500	0	0	3,12,500	0.26	3,12,500	0	3,12,500	0.26	0	0.26	0	0	3,12,500
b	NBFCs registered with RBI		6	43,540	0	0	43,540	0.04	43,540	0	43,540	0.04	0	0.04	0	0	43,540
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)																
	Trust		2	120	0	0	120	0	120	0	120	0	0	0	0	0	120
	Non-Resident Indian (NRI)		593	1,17,704	0	0	1,17,704	0.10	1,17,704	0	1,17,704	0.10	0	0.10	0	0	1,15,204
	Clearing member		44	6,024	0	0	6,024	0.01	6,024	0	6,024	0.01	0	0.01	0	0	6,024
	Non-Resident Indian (NRI)		290	2,62,054	0	0	2,62,054	0.22	2,62,054	0	2,62,054	0.22	0	0.22	0	0	2,62,054
	Body Corporate		478	14,79,590	0	0	14,79,590	1.24	14,79,590	0	14,79,590	1.24	0	1.24	0	0	14,75,590
	Sub-Total (B)(3)		26,864	80,85,456	0	0	80,85,456	6.78	80,85,456	0	80,85,456	6.78	0	6.78	0	0	75,11,476
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		27,039	3,87,59,555	0	0	3,87,59,555	32.53	3,87,59,555	0	3,87,59,555	32.53	0	32.53	0	0	3,81,85,575

C Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder																		
Category & Name of shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								(I)	(II)	(III)			(XII)	(XIII)	(XIV)			
						(VII) = (IV)+(V) + (VI)	(VIII)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (Not Applicable) (a)	As a % of total Shares held (Not Applicable) (b)		
								No of Voting Rights			Total as a % of Total Voting right							
								Class eg: X	Class eg: y	Total								
1 Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		51	65,41,440	0	0	65,41,440	5.49	65,41,440	0	65,41,440	5.49	0	5.49	0	0			29,06,250
ARNAVAZ ROHINTON AGA (Shares held on behalf of ESOP Trust)	AAATT5146N	1	29,06,250	0	0	29,06,250	2.44	29,06,250	0	29,06,250	2.44	0	2.44	0	0			29,06,250
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		51	65,41,440	0	0	65,41,440	5.49	65,41,440	0	65,41,440	5.49	0	5.49	0	0	0	0	29,06,250