**THERMAX LIMITED** THERMAX HOUSE, 14 MUMBAI PUNE ROAD, WAKDEWADI, PUNE 411 003. INDIA TEL.: (020) 25542122, 25542263 FAX: (020) 25541226 Website: www.thermaxindia.com TPAN - AAACT 3910D

#### BY COURIER

**Corporate Finance Division** 

Ref: GPK/TL-036/02976 July 9, 2015

The National Stock Exchange Of India Limited, Exchange Plaza, 5th Floor, Plot No. C/1, G Block, Bandra-Kurla Complex, Bandra (E), Mumbai – 400 051

Fax No: 022-26598237/8

Company's Scrip Code: THERMAX EQ

Sub: Shareholding Pattern of the Company - quarter ending June 30, 2015

Dear Sir.

In terms of Clause 35 of the Listing Agreement, we enclose the following:

- 1) Shareholding Pattern of the Company for the Equity Share Capital for the quarter ending June 30, 2015 and
- 2) List comprising of name, no. of shares held & percentage of shareholding of entities/persons holding more than 1% of the shares of the Company for the Equity Share Capital.

Please note that as per the provision of Regulation 3(9) of SEBI (Share Based Employee Benefits) Regulations 2014, effective from quarter ending December 2014 the Shareholding of Employee Benefit Trusts is required to be shown as "Non Promoter and Non Public" category.

The employee benefit trusts hold 65, 41,440 equity shares (5.48 %) and have been disclosed as "Public" in the Shareholding Pattern submitted to the Stock Exchange. In the absence of required format under Clause 35, we have continued its category as "Public" and not "Non Promoter Non Public", which please note.

The Company shall also post the above shareholding pattern on its website.

You are requested to kindly take the same on record.

Thanking you,

Yours faithfully,

FOR THERMAX LIMITED,

Gajanan P. Kulkarni Vice President – Legal & Company Secretary

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Encl: As above.

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REGD. OFFICE: D 13, MIDC INDUSTRIAL AREA, R. D. AGA ROAD, CHINCHWAD, PUNE: 411 019. INDIA Corporate Identity No.(CIN): L29299PN1980PLC022787

### (I)(a) Statement showing Shareholding Pattern in Clause-35 as on 30.06.2015

Name of the Company : THERMAX LIMITED	
Scrip Code: THERMAX - EQ	
Quarter Ended: 30th June 2015	

Partly Paid-up Shares :-	Number of partly paid-up shares	As a % of total number of partly paid-up shares	As a % of total number of shares of the Company
Held by promoter/promoters group			
Held by public			-
Total			
Outstanding convertible securities :	Number of outstanding securities	As a % of total number of outstanding convertible securities	As a % of total number of shares of the Company, assuming full conversion of the convertible securities
Held by promoter/promoters group			
Held by public			
Total /			
Warrants:	Number of warrants	As a % of total number of warrants	As a % of total number of shares of the Company, assuming full conversion of warrants
Held by promoter/promoters group			
Held by public			
Total			
Total paid-up capital of the Company assuming full conversion of warrants and convertible securities			



Cate- gory code	Category of Shareholder	No. of share- holders	Total number of shares	Number of shares held in dematerialized	Total shareh percentage of number of si	of total	Share Ple otherwise	edged or e encumbered
					As a % of (A+B) <sup>1</sup>	As a % of (A+B+C) (VII)	Number of shares	As a % (IX) = (VIII/(IV)*100
(1)	(11)	(BI)	(IV)	(V)			(VIII)	
(4)	Shareholding of Promoter and Promoter Group <sup>2</sup>							
(A) (1)	Indian							
	Individuals/ Hindu Undivided							
(a)	Family	0	0	0	0.00	0.00	_	-
	Central Government / State							
(b)	Government (s)	-	_	-	-	-	-	-
(c)	Bodies Corporate	2	73849305	73849305	61.98	61.98		
(d) (e)	Financial Institutions / Banks Any Other (Relative of Director)	- 1	6000	6000	0,00	0.00	-	
(6)	Sub-Total (A)(1)	3				61.98		
(2)	Foreign							
	Individuals (Non-Resident							
(a)	Individuals/ Foreign Individuals)			_		-		
(b)	Bodies Corporate	-	-	-			-	
(c)	Institutions	-	-			-	-	-
(d) (e)	Qualified Foreign Investor Any Other (specify)				0.00	0.00		
(e-i)	Any Other (specify)		-	-	0.00	0.00	-	
(e-ii)					<u> </u>	0.00		
	Sub-Total (A)(2)	0	0	0	0.00	0.00	-	
	Total Shareholding of	1						
	Promoter and Promoter Group							
(5)	(A) = (A)(1)+(A)(2)	3	73855305	73855305	61.98	61.98		
(B) (1)	Public Shareholding <sup>3</sup> Institutions						NA NA	NA NA
(a)	Mutual Funds / UTI	62	6912591	6912591	5.80	5.80		N/A
(b)	Financial Institutions / Banks	10	2008414			1,69		
	Central Government / State							
(c)	Government (s)	-		-	-	-		
(d)	Venture Capital Funds	_		-		-		
(e) (f)	Insurance Companies Foreign Institutional Investors	138	18779829	18779829	15.76	15.76		
	Foreign Venture Capital	130	10119029	10713028	15.70	13.70		
(g)	Investors	-	_	_	_			
(h)	Qualified Foreign Investor				0.00	0.00		
(i)	Any Other (specify)	-	-	-		-		
(i-ii)		-			0.00	0.00		
(i-ii)	Sub-Total (B)(1)	210	27700834	27700834	23.25	23.25		
(2)	Non-institutions	210	27700034	21700034	23.23	23.23	NA	NA
(a)	Bodies Corporate	694	3503423	3499423	2.94	2.94	1,17	
	Individuals - (i)							
	Individual shareholders holding							
46.3	nominal sharecapital up to Rs.1		0500000					
(b)	lakh. (ii) Individual shareholders	26992	6583309	5426294	5.52	5.52		
	(ii) Individual shareholders holding nominal share capital in							
	excess of Rs.1 lakh	47	7013955	3846150	5.89	5.89		
(c)	Qualified Foreign Investor				0.00	0.00		
(d)	Any Other (specify)							
	- Directors & Relatives				-			
	- Trusts - Foreign Bodies Corporate	3	1268	1268	0.00	0.00		
	- Foreign Bodies -DR		-	-	<u>-</u>			
	- Non-resident Indians	838	343037	340537	0.29	0.29		
	- HUF	534	125244		0.11	0.11		
	- Clearing Members	85	29925		0.03	0.03		
	Sub-Total (B)(2)	29193	17600161	13268841	14.77	14.77		
	Total Public Shareholding	20.464	4=4444	400000	00.00	20.00		
	(B)=(B)(1)+(B)(2)	29403 29406	45300995 119156300		38.02 100.00			
(C)	TOTAL (A)+(B) Shares held by Custodians	<u> </u>	113130300	114024360	100,00	100.00		
(-)	and against which Depository							
	Receipts have been issued							
	·	0	0	0.	0.00	0.00		
(1)	Promoter and Promoter Group							
/01	Dublic	0	0	0	0.00	0.00		
(2)	Public GRAND TOTAL (A)+(B)+(C)	0 29406	0 119156300	114924990	0.00			
	IGRAND TOTAL (A)*(B)*(C)	∠34U6	119150300	114824980	100.00	100.00	1	

1.



#### (I)(b) Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"

SI No.	Name of the shareholder	Total shares held	1	Shares p	otherwise	
(1)	(11)	Number	As a % of	Number	Asa%	As a % of
l		[(10)	grand total	(V)	(VI)=(V)/	grand total
		!	(A+B+C)		(111)*100	(A)+(B)+(C) of
			(IV)		(VI)	sub-clause (I)
1	RDA Holdings Pvt. Ltd.	64328500	53.99		-	-
2	Homai N Pudumjee	6000	0.01	-	-	-
3	ARA TRUSTEESHIP COMPANY PRIVATE LIMITED	9520805	7.99			
Ĺ		73855305	61.98		-	

## (I)(°c) (i) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares

SI No.	Name of the shareholder	No. of Shares	Shares as a percentage of total no. of shares i.e.,
			Grand Total (A)+(B)+(C)
			indicated in Statement at
			para (I)(a) above)
1	MATTHEWS PACIFIC TIGER FUND	5310034	4.46
2	ARNAVAZ ROHINTON AGA	2906250	2.44
3	LIFE INSURANCE CORPORATION OF INDIA	1768720	1,48
4	AMANSA HOLDINGS PRIVATE LIMITED	1714245	1.44
5	MATTHEWS INDIA FUND	1598128	1.34
6	GOLDMAN SACHS INDIA FUND LIMITED	1496168	1.26
	Total:	14793545	12.42

<sup>\*</sup> Shares held as Trustee on behalf of the Thermax ESOP Trust and declaration given to the Company under Section 187C of the Companies Act, 1956.

(I)(c)(ii) Statement showing holding of securities (including shares, warrants,convertible securities) of persons

	(together with PAC) belonging	to the category		ing more than 5%	of the total n	umber of sha	res of the	сотрапу
			Snares as a					rotal snares
		ļ	percentage of					(including
	1		total number of					underlying
i			shares (i.e.,	ļ				shares
			Grant total					assuming full
	Name(s) of the shareholder(s)		(A)+(B)+(C)					conversion of
	and the Persons Acting in	Number of	indicated in					warrants and
Sr.No.	Concert (PAC) with them	shares held	Statement at	Details of warrar	nts	Details of co	nvertible :	convertible
							% w.r.t	
1							total	
				i			number	
						}	of	
							convertib	
1			ļ		As a % total	-	le	
i					number of	Number of	securitie	
1					warrants of	convertible	s of the	
1			]	Number of	the same	securities	same	
				warrants held	class	held	class	
	TOTAL :	0	0	0	0	0	0	0:

#### (I)(d) Statement showing details of locked-in shares

SI No.	Name of the shareholder	No. of locked-in	Locked-in shares as a		
	-NA-				
	TOTAL				

#### (II)(a) Statement showing details of Depository Receipts (DRs)

SI No.	Type of outstanding DR (ADRs, GDRs, SDRs,	No. of	No. of shares	Shares underlying
11		- N A -		
	TOTAL			

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by promoter/ promoter group are in excess of 1% of the total number of shares

SI No.	Name of the DR Holder	No. of shares und	derlying	Shares underlying
1		- N A -		
	TOTAL			



# (III)(a) Statement showing the Voting Pattern of shareholders, if more than one class of class of shares/securities is issued by the issuer.

Cate-	Category of Shareholder	Number of Voting Rights held in			Total Voting Total Voting Rights i.e.			
		Class-			(III+IV+V)	As a % of	Asa%	
		Equity			(VI)	(A+B)	(A+B+C) VIII	
1)	(11)	(111)	(IV)	(V)	(**/	(VII)		
	Shareholding of Promoter and		<u> </u>	1				
(A)	Promoter Group <sup>2</sup>							
(1)	Indian							
(4)	Individuals/ Hindu Undivided			<del> </del>				
(a)	Family	_	_	_	_	i .	İ .	
(a)	Central Government / State			<del> </del>				
(h)	Government (s)	_	_	_		_		
(b) 1- (c)	Bodies Corporate							
(d)	Financial Institutions / Banks	_						
(e)	Any Other (specify) Sub-Total (A)(1)							
(2)			1					
(2)	Foreign (Non-Resident					-		
(=)						1		
(a)	Individuals/ Foreign Individuals)	_		<del> </del>		-	·····	
(b)	Bodies Corporate		-	<del> </del>	-		·	
(c)	Institutions	-	-	-		-		
(c)	Any Other (specify)		-	-	-			
	Sub-Total (A)(2)	_		<del>                                     </del>	-	<u> </u>		
	Total Shareholding of Promoter				i			
	and Promoter Group (A) =							
	(A)(1)+(A)(2)	-	-	-	_	-		
(B)	Public Shareholding							
(1)	Institutions							
(a)	Mutual Funds / UTI	-		-	-	<u> </u>		
(b)	Financial Institutions / Banks	-			-	-		
15	Central Government / State							
(c)	Government (s)	-	-	-	·			
(d)	Venture Capital Funds	-		-		_		
(e)	Insurance Companies	-	-	-	-	_	-	
(f)	Foreign Institutional Investors	-	-	-	-	_		
(g)	Foreign Venture Capital Investors	-	_			_		
(h)	Any Other (specify)					-		
(7.7)	Sub-Total (B)(1)		_			_		
(2)	Non-institutions	_	_			_		
(a)	Bodies Corporate					_		
(4)	Individuals - (i)						·	
1	Individual shareholders holding							
	nominal sharecapital up to Rs.1							
(b)	lakh.	_				_	l .	
(0)	(ii) Individual shareholders		<del> </del>	<del>                                     </del>		<del></del>		
	holding nominal share capital in			1				
	excess of Rs.1 lakh							
W.		-	-	-	1	-		
(c)	Any Other (specify)				-	1		
1.4	Sub-Total (B)(2)	-	-		-	-		
	Total Public Shareholding							
	(B)=(B)(1)+(B)(2)	-	-	ļ <u>-</u>	-	-		
	TOTAL (A)+(B)	-		-	-			
(C)	Shares held by Custodians and							
. ,	against which Depository							
	Receipts have been issued	-	-	-	.  -			
7.5	GRAND TOTAL (A)+(B)+(C)	-	_	. V -		_		



**THERMAX LIMITED** THERMAX HOUSE, 14 MUMBAI PUNE ROAD, WAKDEWADI, PUNE 411 003. INDIA : TEL.: (020) 25542122, 25542263 : FAX: (020) 25541226 Website: www.thermaxindia.com : IT PAN - AAACT 3910D

#### **BY COURIER**

**Corporate Finance Division** 

Ref: GPK/TL-036/02976 July 9, 2015

The Secretary, BSE Ltd. Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400 001

Fax No. 022-26598237/8

Company's Scrip Code: 500411

Sub: Shareholding Pattern of the Company - quarter ending June 30, 2015

Dear Sir,

In terms of Clause 35 of the Listing Agreement, we enclose the following:

- 1) Shareholding Pattern of the Company for the Equity Share Capital for the quarter ending June 30, 2015 and
- 2) List comprising of name, no. of shares held & percentage of shareholding of entities/persons holding more than 1% of the shares of the Company for the Equity Share Capital.

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Thanking you,

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REGD. OFFICE: D 13, MIDC INDUSTRIAL AREA, R. D. AGA ROAD, CHINCHWAD, PUNE: 411 019, INDIA

Corporate Identity No.(CIN): L29299PN1989PLC022787