

THERMAX LIMITED THERMAX HOUSE, 14 MUMBAI PUNE ROAD, WAKDEWADI,
PUNE 411 003. INDIA ☐ TEL.: (020) 25542122, 25542263 ☐ FAX : (020) 25541226
Website : www.thermaxglobal.com ☐ IT PAN - AAAC 3910D
Customer Care : 18002090115 (India Toll Free)

Corporate Finance



Ref: KPP/TL-36/03268
Date: July 19, 2019

**The National Stock Exchange
Of India Limited,
Exchange Plaza,
5th Floor, Plot No. C/1, G Block,
Bandra-Kurla Complex,
Bandra (E), Mumbai – 400 051**

Company's Scrip Code: THERMAX EQ

Sub: Shareholding Pattern of the Company for the quarter ended June 30, 2019.

Ref: Regulation 31 (1) (b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir,

With reference to the above attached is the Shareholding Pattern for the Equity Share Capital of the Company for the quarter ended June 30, 2019.

The Company shall also post the above shareholding pattern on its website.

You are requested to kindly take the same on record.

Thanking you,

Yours faithfully,
For **THERMAX LIMITED,**

**Kedar P. Phadke
Company Secretary**

Encl: As above

1. Name of Listed Entity: Thermax Limited
2. Scrip Code/Name of Scrip/Class of Security: THERMAX
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Jun-2019
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No
4	Whether the Listed Entity has any shares in locked-in?	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No
6	Whether the Listed Entity has issued any differential Voting Rights?	No
7	Whether the Listed Entity has issued any Warrants ?	No
8	Whether the listed entity has any significant beneficial owner?	Yes



Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders	No. of fully paid up equity shares	No. of Partly equity shares	No. of shares underlying Depository Receipts	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
								As a % of (A+B+C2)	No of Voting Rights				Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)	
									Class eg: X	Class eg: y									Total
A	Promoter & Promoter Group	3	73855305	0	0	73855305	61.98	73855305	0	73855305	61.98	0	61.98	0	0.00			73855305	
B	Public	33252	38759555	0	0	38759555	32.53	38759555	0	38759555	32.53	0	32.53	0	0.00			38450720	
C	Non Promoter-Non Public	51	6541440	0	0	6541440	5.49	6541440	0	6541440	5.49	0	5.49	0	0.00	0	0.00	2906250	
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
C2	Shares held by Employee Trusts	51	6541440	0	0	6541440	5.49	6541440	0	6541440	5.49	0	5.49	0	0.00			2906250	
	Total	33306	119156300	0	0	119156300	100.00	119156300	0	119156300	100.00	0	100.00	0	0.00			115212275	



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (i)	PAN (ii)	No. of share holder (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii = iv+v+vi)	Shareholding % calculate d as per SCRR, 1957 (viii) = (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding % as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)+(x) as a % of A+B+C2	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total share s held (b)
								Class X	Class Y	Total								
1	Indian	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
a	Individuals/Hindu undivided Family	1	6000	0	0	6000	0.01	6000	0	6000	0.01	0	0.01	0	0.00		6000	
	Pheroze N. Pudumjee	AAJPP 7065R	1	6000	0	6000	0.01	6000	0	6000	0.01	0	0.01	0	0.00		6000	
b	Central Government/ State Government(s)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0	
c	Financial Institutions/ Banks		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0	
d	Any Other (specify)		2	73849305	0	73849305	61.98	73849305	0	73849305	61.98	0	61.98	0	0.00		73849305	
	Bodies Corporate		2	73849305	0	73849305	61.98	73849305	0	73849305	61.98	0	61.98	0	0.00		73849305	
	RDA HOLDINGS PRIVATE LIMITED	AAAC R9831 K	1	64328500	0	64328500	53.99	64328500	0	64328500	53.99	0	53.99	0	0.00		64328500	
	ARA TRUSTESHIP COMPANY PRIVATE LIMITED	AACT R3403 N	1	9520805	0	9520805	7.99	9520805	0	9520805	7.99	0	7.99	0	0.00		9520805	
	Sub-Total (A)(1)		3	73855305	0	73855305	61.99	73855305	0	73855305	61.99	0	61.99	0	0.00		73855305	
2	Foreign		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0	
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0	
b	Government		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0	
c	Institutions		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0	
d	Foreign Portfolio Investor		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0	
e	Any Other (specify)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0	



Sub-Total (A)(2)	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	3	73855305	0	0	73855305	61.99	73855305	0	73855305	61.99	0	61.99	0	0.00			73855305

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0	
a	Mutual Funds/		80	17549173	0	0	17549173	14.73	17549173	17549173	14.73	0	14.73	0	0.00			17549173	
	KOTAK SMALL CAP FUND	AAAT K4475 F	1	2620146	0	0	2620146	2.20	2620146	2620146	2.20	0	2.20	0	0.00			2620146	
	RELIANCE CAPITAL TRUSTEE CO LTD-A/C RELIANCE ETF NIFTY MIDCAP 150 ETF	AAAT R0090 B	1	1979384	0	0	1979384	1.66	1979384	1979384	1.66	0	1.66	0	0.00			1979384	
	SUNDARAM MUTUAL FUND A/C SUNDARAM MID CAP FUND	AAAT S2554 B	1	1576151	0	0	1576151	1.32	1576151	1576151	1.32	0	1.32	0	0.00			1576151	
	FRANKLIN TEMPLETON MUTUAL FUND A/C FRANKLIN INDIA PRIMA FUND	AAAT T4931 H	1	2047283	0	0	2047283	1.72	2047283	2047283	1.72	0	1.72	0	0.00			2047283	
	SBI LARGE & MIDCAP FUND	AABT S6407 Q	1	4424584	0	0	4424584	3.71	4424584	4424584	3.71	0	3.71	0	0.00			4424584	
b	Venture Capital Funds		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
c	Alternate		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	



	Investment Funds																	
d	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
e	Foreign Portfolio Investors		107	10772265	0	0	10772265	9.04	10772265	10772265	9.04	0	9.04	0	0.00		10772265	
	GOLDMAN SACHS INDIA FUND LIMITED	AADC G0260 C	1	1355268	0	0	1355268	1.14	1355268	1355268	1.14	0	1.14	0	0.00		1355268	
	PINEBRIDGE INVESTMENT S GF MAURITIUS LIMITED	AAHC A7302 F	1	1302198	0	0	1302198	1.09	1302198	1302198	1.09	0	1.09	0	0.00		1302198	
f	Financial Institutions/ Banks		9	278948	0	0	278948	0.23	278948	278948	0.23	0	0.23	0	0.00		278948	
g	Insurance Companies		2	1793990	0	0	1793990	1.51	1793990	1793990	1.51	0	1.51	0	0.00		1793990	
	LIFE INSURANCE CORPORATION OF INDIA	AAAC L0582 H	1	1768720	0	0	1768720	1.48	1768720	1768720	1.48	0	1.48	0	0.00		1768720	
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00		0	
i	Any Other (specify)		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00		0	
	Sub-Total (B)(1)		198	30394376	0	0	30394376	25.51	30394376	30394376	25.51	0	25.51	0	0.00		30394376	
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00		0	
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00		0	
3	Non-institutions		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	
a	Individuals -		31022	6219300	0	0	6219300	5.22	6219300	6219300	5.22	0	5.22	0	0.00	0	0.00	
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		31021	6089538	0	0	6089538	5.11	6089538	6089538	5.11	0	5.11	0	0.00		5782203	
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		1	129762	0	0	129762	0.11	129762	129762	0.11	0	0.11	0	0.00		129762	
b	NBFCs registered with RBI		6	12365	0	0	12365	0.01	12365	12365	0.01	0	0.01	0	0.00		12365	
c	Employee Trusts		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00		0	



d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
e	Any Other (specify)	2026	2133514	0	0	2133514	1.79	2133514	0	2133514	1.79	0	1.79	0	0.00		2132014
	Trusts	4	7331	0	0	7331	0.01	7331	0	7331	0.01	0	0.01	0	0.00		7331
	Non-Resident Indian (NRI)	845	254663	0	0	254663	0.21	254663	0	254663	0.21	0	0.21	0	0.00		254163
	Clearing member	47	16307	0	0	16307	0.01	16307	0	16307	0.01	0	0.01	0	0.00		16307
	Other	500	409947	0	0	409947	0.34	409947	0	409947	0.34	0	0.34	0	0.00		409947
	Bodies Corporate	629	1375338	0	0	1375338	1.15	1375338	0	1375338	1.15	0	1.15	0	0.00		1374338
	IEPF	1	69928	0	0	69928	0.06	69928	0	69928	0.06	0	0.06	0	0.00		69928
	Sub-Total (B)(3)	33054	8365179	0	0	8365179	7.02	8365179	0	8365179	7.02	0	7.02	0	0.00		8056344
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	33252	3875955	0	0	3875955	32.53	3875955	0	3875955	32.53	0	32.53	0	0.00		38450720



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of shares held (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								Class X	Class Y	Total			No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)		
																		No of Voting Rights
1	Custodian/DR Holder	0	0	0	0	0		0	0	0	0.00	0	0.00	0	0.00		0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	51	6541440	0	0	6541440	5.49	6541440	0	6541440	5.49	0	5.49	0	0.00		2906250	
	SHARAD NARAHAR GANGAL (Shares held on behalf of ESOP Trust)	AAAT T5146 N	1	2906250	0	0	2906250	2.44	2906250	0	2906250	2.44	0	2.44	0	0.00		2906250
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	51	6541440	0	0	6541440	5.49	6541440	0	6541440	5.49	0	5.49	0	0.00	0	0.00	2906250

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Meher Pudumjee	AGNPP3413B		India	61.98	0.00	0.00			
A	RDA Holdings Private Limited	AAACR9831K		India	53.99	0.00	0.00	No	No	13-Oct-2014
B	ARA TRUSTESHIP COMPANY PVT LIMITED	AACTR3403N		India	7.99	0.00	0.00	No	No	23-Sep-2014
2	Pheroze Pudumjee	AAJPP7065R		India	61.99	0.00	0.00			
A	RDA Holdings Private Limited	AAACR9831K		India	53.99	0.00	0.00	No	No	13-Oct-2014



B	ARA TRUSTEESHIP COMPANY PVT LIMITED	AACTR3403N		India	7.99	0.00	0.00	No	No	23-Sep-2014
C	Pheroze Pudumjee	AAJPP7065R		India	0.01	0.00	0.00	No	No	04-Apr-2016
3	Arnavaz Aga	ADGPA1770Q		India	61.98	0.00	0.00			
A	RDA Holdings Private Limited	AAACR9831K		India	53.99	0.00	0.00	No	No	13-Oct-2014
B	ARA TRUSTEESHIP COMPANY PVT LIMITED	AACTR3403N		India	7.99	0.00	0.00	No	No	23-Sep-2014

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %

