THERMAX LIMITED THERMAX HOUSE, 14 MUMBAI PUNE ROAD, WAKDEWADI, PUNE 411 003. INDIA ☐ TEL.: (020) 25542122, 25542263 FAX: (020) 25541226 ☐ Website: www.thermaxglobal.com IT PAN - AAACT 3910D ☐ Customer Care: 18002090115 (India Toll Free)



Corporate Finance

Ref: AA/TL-36/03079

Date: October 13, 2016

The National Stock Exchange
Of India Limited,
Exchange Plaza,
5th Floor, Plot No. C/1, G Block,
Bandra-Kurla Complex,
Bandra (E), Mumbai – 400 051

Company's Scrip Code: THERMAX EQ

Sub: Shareholding Pattern of the Company for the quarter ended September 30, 2016

Ref: Regulation 31 (1) (b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir.

With reference to the above and as per the SEBI circular no. CIR/CFD/CMD/13/2015 dated November 30, 2015, we enclose the Shareholding Pattern for the Equity Share Capital of the Company for the quarter ended September 30, 2016.

The Company shall also post the above shareholding pattern on its website.

You are requested to kindly take the same on record.

Thanking you,

Yours faithfully, FOR **THERMAX LIMITED**,

Amit Atre

Company Secretary

Encl: As above

(Meaps)

## **Format of Holding of Specified securities**

- 1. Name of Listed Entity: THERMAX LIMITED
- 2. Scrip Code/Name of Scrip/Class of Security: THERMAX EQ
- 3. Share Holding Pattern Filed under: Reg.31(1)(b)
  - a. if under 31(1)(b) then indicate the report for quarter ending 30/09/2016
  - b. if under 31(1)(c) then indicate date of allotment/extinguishment
- Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars	YES*	NO*
а	Whether the Listed Entity has issued any partly paid up shares	 	1
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?	 -	1
С	Whether the Listed Entity has any shares against which depository receipts are issued?		1
d	Whether the Listed Entity has any shares in locked-in?		1
e	Whether any shares held by promoters are pledge or otherwise encumbered?		1

\*if the Listed Entity selectes the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:

## SHP quarter ended 30.09.2016 (Heaps)

Catego Category of shareholdery (I) (II)		Nos. of shareh olders	No. of fully paid up equity	No. of Partly paid-	No. of shares underlying	Total nos. shares	Shareholdi ng as a % of total no.	Number	Number of Voting Rights held in each class of securities				Shareholding, as a % assuming full conversion	Number of Locked in shares		Number of Shares pledged or		Number of equity shares held
y (I)	(11)	(III)	shares	equity	Depository	held	(calculated					Outstanding	of convertible			otherwise		in
			held	shares	Receipts	(VII) =	per SCRR,					convertible	securities ( as a	4	(XII)	encumbered		dematerializ ed form
			(IV)	held	(VI)	(IV)+(V)+	1957) (VIII)	-				securities (including	percentage of diluted share	-		(	XIII)	ea torm
				(V)	A1	(VI)		No of Voting	Rights		Total as a		capital)	No. (a)	Asa	No. (a)	As a	(XIV)
							As a % of (A+B+C2)	- No or roung	ragino		% of (A+B+ C)	Warrants) (X)		}	% of total Shar		% of total	
							[A.B.(C2)	Clas s eg: X	Clas s	Tot al		(20)	(XI)=(VII)+(X)	}	es held (b)		Share s held	
									eg:y				As a % of	]		1	(b)	
													(A+B+C2)			1		
<u> </u>	Promoter & Promoter Group	3	73855305	0	0	73855305	Δ.	73855305	0	73855305	61.98	0	61.98	0	0	0	)	73855305
3	Public	29161	38759555	0	0	38759555	32.53	38759555	0	38759555	32.53	0	32.53	0	0 ::	40		38168200
5	<del></del>		6541440	0	0	6541440	5.49	6541440	0	6541440	5.49	0	5.49	0	0	0 0	)	2906250
11	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
172			6541440	n o	0	6541440	5.49	6541440	0	6541440	5.49	0	5.49	0	0			2906250
22	7	31	0241440	<b>υ</b>	٥	07+14-0	J.47	07+11+0	۲	0771770	5.47	0	J. 17	٠,				2700230
	Employee Trusts				_							140						
60	Total	29215	119156300	0	0	119156300	100	119156300	0	119156300	100	0	100	0	0	10 10	)	114929755

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total	Sharehol		oting Rights			No. of	Shareholdin	Number of		Number of		Number of
	of the	(11)	shareh	fully	paid-up	shares	nos.	ding % calculate	each clas	ss of securiti			Shares Underlying	g , as a % assuming	Locked in shares		Shares pledged or		equity shares held
	Shareholders (I)	-	older (III)	paid up	equity shares		shares held	d as per	-		(IX)		Outstanding	full	Strates	(XII)	otherwise		in
	10		("")	equity	held	Receipts	(VII =	SCRR,					convertible	conversion			encumbered		dematerializ
				share	(V)	(VI)	IV+V+VI)	1957	No of Voting	Diebte		Tatalas	securities (including	of convertible	No. (a)	As a	No. (a)	(XIII) As a	ed form (XIV)
				s held (IV)				As a % of	No or Volling	Rights		Total as a % of Total	Warrants)	securities (	- NO. (a)	% of total Shar	140. (a)	% of total	(2014)
				(5-7)				(A+B+C2)	]			Voting	(X)	as a	$\Box$	es held (b)		share s held	
								(VIII)	Class X	Class Y	Tot al	rights		percentage of diluted	$\dashv$			(b)	
														share					
														capital) (XI) =					
												-		(VII)+(X) as a					
														% of					
														A+B+C2					
	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	-		0
_	Individuals/Hindu		0	0	0	0	0	0	0	0	0	0	Ю	0	0	0		0	0
•	undivided Family		,					, , , , , , , , , , , , , , , , , , ,											
	Central Government/		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	State Government(s)																		
	Financial Institutions/	<del> </del>	n	0	0	0	0	0	h	0	0	0	0	n	0	Ó			0
	•		V	U	V	ľ	0	ľ	۲	U		٢	0		٥				ľ
	Banks													151.00					50055005
	Any Other (specify)		3	73855305	0	0	73855305	61.98	73855305	0	73855305	61.98	0	61.98	0	0		0 .	73855305
	BODIES CORPORATE		3	73855305	0	0	73855305	61.98	73855305	0	73855305	61.98	0	61.98	0 `	0			73855305
	RDA HOLDINGS	AAACR9831K	1	64328500	0	0	64328500	53.99	64328500	0	64328500	53.99	0	53.99	0	0			64328500
	PRIVATE LIMITED			0.5250															
		A A OTD 2 402N	1	0500005	_		0520005	7.00	0520005		9520805	7.99		7.99	0	0			9520805
	ARA TRUSTEESHIP	AACTR3403N	1	9520805	U	μ	9520805	7.99	9520805	ν	9320803	1.99	V	1.99	μ	U			9320803
	PRIVATE LIMITED										;								
	Pheroz Pudumjee	AAJPP7065R	1	6000		0	6000	.01	6000		6000	.01		.01		0	,	0	6000
	Sub-Total (A)(1)		3	73855305	0	0	73855305	61.98	73855305	0	73855305	61.98	0	61.98	0	0 .	0	0	73855305
	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Individuals (Non-		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Resident Individuals/																		
																		1	
2/10	Foreign Individuals)  Government	<u> </u>	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
7.00			0	0	0	0	0	0	0	0	<u> </u>	0	0	0	0	0			0
Haran.	Institutions  Facility Double		0	0	- 0	0	<u> </u>	-	0	0	6	0	0	<u></u>	0	0			0
	Foreign Portfolio		U	U	V	P	V	ľ	U	U	۲	ľ	ľ	۲	٧	V			٢
	Investor							- -			-								
4	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
Mir.	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	10	0	ĮU	<u>lo</u>	0	U		U	U
	Total Shareholding of		3	73855305	0	0	73855305	61.98	73855305	0	73855305	61.98	0	61.98	0	0	0	0	73855305
	Promoter and Promoter				,														
	Group (A)=																		
	(A)(1)+(A)(2)																		

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareh older (III)	No. of fully paid up equity share s held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlyin g Depositor y	Total nos. shares held <u>VII =</u> <u> V+V+VI</u>	Shareh of ding % calculat e d as per			Rights held in each securities (IX)		No. of Shares Underly ing Outstan ding	Total shareho Idin g, as a % assumi ng full	Number of Locked in shares (XII)	pled othe encumb	of Shares Iged or erwise ered (XIII)	Number of equity shares held in dematerializ ed form
						Receipts (VI)		SCRR, 1957 As a % of (A+B+C 2) VIII	No of Voting F	Class Y	Tot al	Total as a % of Total Voting rights	converti ble securiti es (includi ng Warrant	conver sion of convert ible securiti es (as	No. (a)  As a % of total Shar es held (b)	No. (No t ap pli ca ble ) (a)	As a % of total share s held (Not annlic	(XIV)
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0 0	0	0	0
а	Mutual Funds/		63	10029234	0	0	10029234	8.42	10029234	0	10029234	8.42	0	8.42	0 0			10029234
	ICICI prudential Business Cycle Fund Series 1	AAAAI0038F	6	1903981	0	0	1903981	1.6	1903981	0	1903981	1.6	0	1.6	0 0			1903981
		AABTS6407Q	6	3371174			3371174	2.83	3371174		3371174	2.83		2.83	0			3371174
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0 0			0
c ·	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0 0			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0 0			0
	Foreign Portfolio Investors		109	19050511	0	0	19050511	15.99	19050511	0	19050511	15.99	0	15.99	0 0			19050511
		AAKCA7237L	1	1730328	0	0	1730328	1.45	1730328	0	1730328	1.45	0	1.45	0 0			1730328
		AADCG0260C	1	1574917	Ō	0	1574917	1.32	1574917	0	1574917	1.32	0	1.32	0 0			1574917
	MATTHEWS PACIFIC TIGER FUND	AABTM2313F	1	5310034	0 -	0	5310034	4.46	5310034	0	5310034	4.46	0	4.46	0 0			5310034
	PINEBRIDGE INVESTMENTS INDIA LIMITED	AAHCA7302F	1	1547488	0	0	1547488	1.3	1547488	0	1547488	1.3	0	1.3	0 0			1547488
f	Financial Institutions/ Banks		10	238423	0	0	238423	.2	238423	0	238423	.2	0	.2	0 0		_	238423
g	Insurance Companies		2	1793990	0	0	1793990	1.51	1793990	0	1793990	1.51	0	1.51	0 0			1793990
<u> </u>		AAACL0582H	1	1768720	0	0	1768720	1.48	1768720	0	1768720	1.48	0	1.48	0 0			1768720
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0 0			0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0 0			0
£0.6:	Sub-Total (B)(1)		184	31112158	0	0	31112158	26.12	31112158	0	31112158	26.12	0	26.12	0 0	,		31112158
2	Central Government/ State Government(s)/ President		0	0	0	0	0	0	0	0	0	0	0	0	0 0			0
	of India		1 //															
65	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0 0		68	0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0 0	0	0	0
a	Individuals -		27497	6570920	0	0	6570920	5.51	6570920	0	6570920	5.51	0	5.51	0 0	0	0	5986065
i	Individual shareholders holding nominal share			6258420	0	0	6258420		6258420	0	6258420	5.25	0	5.25	0 0			5673565
	capital up to Rs. 2 lakhs.																	
ii	Individual shareholders holding nominal share	. –	1	312500	0	0	312500	.26	312500	0	312500	.26	0	.26	0 0			312500
	capital in excess of Rs. 2 lakhs.														<u> </u>			
b	NBFCs registered with RBI		6	45505	0	0	45505	.04	45505	0	45505	.04	0	.04	0 0			45505
	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0 0			0
	Overseas Depositories (holding DRs) (balancing	-	0	0	0	0	0	0	0	0	0	0	0	0	0 0			0
100	figure)															}		
e	Any Other (specify)		1474	1030972	0	0	1030972	.87	1030972	0	1030972	.87	0	.87	0 0			1024472
	BODIES CORPORATE			695288	0	0		.58	695288	0	695288	.58	0	.58	0 0			691288
	CLEARING MEMBERS			18171	0	0	18171	.02	18171	0	18171	.02	0	.02	0 0			18171
	NON RESIDENT INDIAN NON REPATRIABLE	0		191396			191396	.16	191396		191396	.16		.16	0			191396
	NON RESIDENT INDIANS			125987	0	0	125987	.11	125987	0	125987	.11	0	.11	0 0			123487
	TRUSTS			130	0	0	130	0	130	0	130	0	0	0	0 0			130
	Sub-Total (B)(3)	-		7647397	0	0		6.42	7647397	0	7647397	6.42	0	6.42	0 0			7056042
	Total Public Shareholding (B)=	/		38759555	0	0	38759555		38759555	0	38759555	32.54	0	32.54	0 0			38168200
	(B)(1)+(B)(2)+(B)(3)		8								,							· / ^·

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Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total no.	Sharehol		Voting Right			No. of	Total	Number of		Number of		Number of
of the	(11)	shareh	fully	paid-up		shares	ding %	each c	lass of secur			Shares	shareholdin	Locked in		Shares		equity
Shareholders		older	paid	equity			calculate			(IX)		Underlying	g, as a %	shares		pledged or		shares held
(1)		(111)	ир	shares			d as per	_				Outstanding	assuming	(XII)		otherwise encumbered		in dematerializ
			equity	held	Receipts	IV+V+VI)	SCRR, 1957	_				convertible securities	full conversion	—		encumbered (XIII)		ed form
			share s held	(V)	(VI)		As a % of	No of Voting Rights			Total as a % of	(including	of	No.	Asa	No.	As a	(XIV)
			(IV)	-			(A+B+C2)	- /************************************	19 1 19 110		Total Voting	Warrants)	convertible		% of total	(No tap pli	% of total	(Not
12			""				(VIII)				rights	(X)	securities (		Shar es	ca ble	share s held	Applicable)
10							' '	Class X	Class Y	Tot al	-  •		as a		held	)	(Not applic	
		i						OMOO X	0.000	1000			percentage				able)	
													of diluted	_				
													share capital)	$\dashv$				
													(XI)	_				
Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
Employee Benefit Trus	st	51 -	6541440	0	0	6541440	5.49	6541440	0	6541440	5.49	0	5.49	0	0			2906250
(under SEBI (Share											40							
15																		
based Employee																		
Benefit) Regulations,					ŀ												142	
2014)					ŀ													
ARNAVAZ	AAATT5146N	0	2906250	0	0	2906250	2.44	2906250	0	2906250	2.44	0	2.44	0	0			2906250
l .	101111311011	۲	2700250	1	ľ	<b>D</b>	Γ		ľ		L	ľ						
ROHINTON AGA																		1
Total Non-Promoter-		51	6541440	0	0	6541440	5.49	6541440	0	6541440	5.49	0	5.49	0	0	0	0	2906250
Non Public									-									
Shareholding (C)=												8		,				
(C)(1)+(C)(2)															1			