

THERMAX LIMITED THERMAX HOUSE, 14 MUMBAI PUNE ROAD, WAKDEWADI,
PUNE 411 003. INDIA ☐ TEL.: (020) 25542122, 25542263 ☐ FAX : (020) 25541226
Website : www.thermaxglobal.com ☐ IT PAN - AAAC 3910D
Customer Care : 18002090115 (India Toll Free)

Corporate Finance



Ref: KPP/TL-36/03291
Date: January 9, 2020

**The Secretary,
BSE Ltd.,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001**

Company's Scrip Code: 500411

Sub: Shareholding Pattern of the Company for the quarter ended December 31, 2019

Ref: Regulation 31 (1) (b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir,

With reference to the above attached is the Shareholding Pattern for the Equity Share Capital of the Company for the quarter ended December 31, 2019.

The Company shall also post the above shareholding pattern on its website.

You are requested to kindly take the same on record.

Thanking you,

Yours faithfully,
For **THERMAX LIMITED,**

**Kedar P. Phadke
Company Secretary**

Encl: As above

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General information about company

Scrip code	500411
NSE Symbol	THERMAX
MSEI Symbol	NOTLISTED
ISIN	INE152A01029
Name of the company	Thermax Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)



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Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			



Table i - Summary Statement holding of specified securities

Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

Category (i)	Category of shareholder (ii)	Nos. Of shareholders (iii)	No. of fully paid up equity shares held (iv)	No. Of Partly paid-up equity shares held (v)	No. Of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (ix)				No. Of Shares Underlying Outstanding convertible securities (x)	No. of Shares Underlying Outstanding Warrants (xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xii) = (vii)+(x) As a % of (A+B+C2)	Number of Locked In shares (xiii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
								No of Voting (xiv) Rights			Total as a % of (A+B+C)					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y	Total										
(A)	Promoter & Promoter Group	3	73855305			73855305	61.98	73,855,305.00			73855305.00	61.98							73855305	
(B)	Public	33543	38759555			38759555	32.53	38759555.00			38759555.00	32.53							38759555	
(C)	Non Promoter- Non Public	49	6541440			6541440	5.49	6541440.00			6541440.00	5.49							6541440	
(C1)	Shares underlying DRs																			
(C2)	Shares held by Employee Trusts	49	6541440			6541440	5.49	6,541,440.00			6541440.00	5.49							6541440	
	Total	33595	119156300			119156300	100	119156300.00			119156300.00	100.00							118683145	

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting (XIV) Rights							Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: y	Total										
A Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																				
(1) Indian																				
(a)	Individuals/Hindu undivided Family	1	6000			6000	0.01	6000.00					0.01						6000	
(b)	Central Government/ State Government(s)																			
(c)	Financial Institutions/ Banks																			
(d)	Any Other (specify)	2	73849305			73849305	61.98	73849305.00					61.98						73849305	
Sub-Total (A)(1)		3	73855305			73855305	61.98	73855305.00					61.98						73855305	
(2) Foreign																				
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																			
(b)	Government																			
(c)	Institutions																			
(d)	Foreign Portfolio Investor																			
(e)	Any Other (specify)																			
Sub-Total (A)(2)																				
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		3	73855305			73855305	61.98	73855305.00					61.98						73855305	
Details of Shares which remain unclaimed for Promoter & Promoter Group																				
B Table III - Statement showing shareholding pattern of the Public shareholder <small>Note: Kindly show details of shareholders having more than one percentage of total no of shares. Please refer software manual.</small>																				
(1) Institutions																				
(a)	Mutual Funds	85	18836152			18836152	15.81	18836152					15.81						18836152	
(b)	Venture Capital Funds																			
(c)	Alternate Investment Funds																			
(d)	Foreign Venture Capital Investors																			
(e)	Foreign Portfolio Investors	108	9086402			9086402	7.63	9086402					7.63						9086402	
(f)	Financial Institutions/ Banks	6	119078			119078	0.10	119078					0.10						119078	
(g)	Insurance Companies	2	2082202			2082202	1.75	2082202					1.75						2082202	
(h)	Provident Funds/ Pension Funds																			
(i)	Any Other (specify)																			
Sub-Total (B)(1)		201	30123834			30123834	25.28	30123834.00					25.28						30123834	
(2) Central Government/ State Government(s)/ President of India																				
Sub-Total (B)(2)																				
(3) Non-Institutions																				
(a)(i)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	31256	5875566			5875566	4.93	5875566					4.93						5629281	
(a)(ii)	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	2	230275			230275	0.19	230275					0.19						230275	
(b)	NBFCs registered with RBI	2	300			300	0.00	300					0.00						300	
(c)	Employee Trusts																			
(d)	Overseas Depositories (holding DRs) (balancing figure)																			
(e)	Any Other (specify)	2082	2529580			2529580	2.12	2529580					2.12						2528080	
Sub-Total (B)(3)		33342	8635721			8635721	7.25	8635721.00					7.25						8387936	
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		33543	38759555			38759555	32.53	38759555.00					32.53						38511770	
Details of the shareholders acting as persons in Concert for Public																				
Details of Shares which remain unclaimed for Public																				
C Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder																				
(1)	Custodian/DR Holder - Name of DR Holders (if Available)																			
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	49	6541440			6541440	5.49	6541440					5.49						6316070	
Total NonPromoter- Non Public Shareholding (C)=(C)(1)+(C)(2)		49	6541440			6541440	5.49	6541440.00					5.49						6316070	
Total (A+B+C2)		33595	119156300			119156300	100.00	119156300.00					100.00						118683145	
Total (A+B+C)		33595	119156300			119156300	100.00	119156300.00					95.00						118683145	
Disclosure of notes on shareholding pattern										Add Notes										
Disclosure of notes in case of promoter holding in dematerialised form is less than 100 percentage										Add Notes										
Disclosure of notes in case of public share holding is less than 25 percentage										Add Notes										
Disclosure of notes on shareholding pattern for company remarks explanatory										Add Notes										

Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
significant beneficial owners																
Add Delete																
1	Pudumjee Pneroz	AGNPP3413B		India		RDA Holdings Private Limited	AAACR9831K		India		53.99			No	No	13-10-2014
2	Pudumjee	AAJPP7065R		India		RDA Holdings Private Limited	AAACR9831K		India		53.99			No	No	13-10-2014
3	Arnavaz Aga Mener	ADGPA1770Q		India		RDA Holdings Private Limited	AAACR9831K		India		53.99			No	No	13-10-2014
4	Pudumjee Pneroz	AGNPP3413B		India		AKA TRUSTEESHIP	AACTR3403N		India		7.99			No	No	23-09-2014
5	Pudumjee	AAJPP7065R		India		AKA TRUSTEESHIP	AACTR3403N		India		7.99			No	No	23-09-2014
6	Arnavaz Aga Pneroz	ADGPA1770Q		India		AKA TRUSTEESHIP	AACTR3403N		India		7.99			No	No	23-09-2014
7	Pudumjee	AAJPP7065R		India		Pneroz Pudumjee	AAJPP7065R		India		0.01			No	No	04-04-2016