

(I)(a) Statement showing Shareholding Pattern in Clause-35 as on 31.12.2012

Name of the Company : THERMAX LIMITED
Scrip Code : THERMAX - EQ
Quarter Ended: 31st December 2012

Partly Paid-up Shares :-	Number of partly paid-up shares	As a % of total number of partly paid-up shares	As a % of total number of shares of the Company
Held by promoter/promoters group			
Held by public			
Total			
Outstanding convertible securities :	Number of outstanding securities	As a % of total number of outstanding convertible securities	As a % of total number of shares of the Company, assuming full conversion of the convertible securities
Held by promoter/promoters group			
Held by public			
Total			
Warrants :	Number of warrants	As a % of total number of warrants	As a % of total number of shares of the Company, assuming full conversion of warrants
Held by promoter/promoters group			
Held by public			
Total			
Total paid-up capital of the Company assuming full conversion of warrants and convertible securities			



Category code	Category of Shareholder	No. of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Share Pledged or otherwise encumbered	
					As a % of (A+B) ¹ (vi)	As a % of (A+B+C) (VII)	Number of shares (VIII)	As a % (IX) = (VIII/IV)*100
(I)	(II)	(III)	(IV)	(V)				
(A)	Shareholding of Promoter and Promoter Group²							
(1)	Indian							
(a)	Individuals/ Hindu Undivided Family	6	9520805	9520805	7.99	7.99	-	-
(b)	Central Government / State Government (s)	-	-	-	-	-	-	-
(c)	Bodies Corporate	1	64328500	64328500	53.99	53.99	-	-
(d)	Financial Institutions / Banks	-	-	-	-	-	-	-
(e)	Any Other (Relative of Directors)	1	6000	6000	0.01	0.01	-	-
	Sub-Total (A)(1)	8	73855305	73855305	61.99	61.99	-	-
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-	-
(b)	Bodies Corporate	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-	-
(d)	Qualified Foreign Investor	-	-	-	0.00	0.00	-	-
(e)	Any Other (specify)	-	-	-	-	-	-	-
(e-i)		-	-	-	0.00	0.00	-	-
(e-ii)		-	-	-	-	-	-	-
	Sub-Total (A)(2)	0	0	0	0.00	0.00	-	-
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	8	73855305	73855305	61.99	61.99	-	-
(B)	Public Shareholding³						NA	NA
(1)	Institutions						NA	NA
(a)	Mutual Funds / UTI	50	7107908	7107908	5.97	5.97		
(b)	Financial Institutions / Banks	20	5407367	5407367	4.54	4.54		
(c)	Central Government / State Government (s)	-	-	-	-	-		
(d)	Venture Capital Funds	-	-	-	-	-		
(e)	Insurance Companies	-	-	-	-	-		
(f)	Foreign Institutional Investors	116	16203798	16203798	13.60	13.60		
(g)	Foreign Venture Capital Investors	-	-	-	-	-		
(h)	Qualified Foreign Investor	-	-	-	0.00	0.00		
(i)	Any Other (specify)	-	-	-	-	-		
(i-ii)		-	-	-	0.00	0.00		
(i-ii)		-	-	-	-	-		
	Sub-Total (B)(1)	186	28719073	28719073	24.11	24.11		
(2)	Non-institutions						NA	NA
(a)	Bodies Corporate	719	1494363	1490363	1.25	1.25		
(b)	Individuals - (i) Individual shareholders holding nominal share capital up to Rs.1 lakh.	32266	7544940	6288259	6.33	6.33		
	(ii) Individual shareholders holding nominal share capital in excess of Rs.1 lakh	49	7215096	1033541	6.06	6.06		
(c)	Qualified Foreign Investor	-	-	-	0.00	0.00		
(d)	Any Other (specify)	-	-	-	-	-		
	- Directors & Relatives	-	-	-	-	-		
	- Trusts	3	5355	5355	0.00	0.00		
	- Foreign Bodies Corporate	-	-	-	-	-		
	- Foreign Bodies -DR	-	-	-	-	-		
	- Non-resident Indians	846	158346	155846	0.13	0.13		
	- HUF	602	148877	148877	0.12	0.12		
	- Clearing Members	91	14945	14945	0.01	0.01		
	Sub-Total (B)(2)	34576	16581922	9137186	13.90	13.90		
	Total Public Shareholding (B) = (B)(1)+(B)(2)	34762	45300995	37856259	38.01	38.01		
	TOTAL (A)+(B)	34770	119156300	111711564	100.00	100.00		
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
(1)	Promoter and Promoter Group	0	0	0	0.00	0.00		
(2)	Public	0	0	0	0.00	0.00		
	GRAND TOTAL (A)+(B)+(C)	34770	119156300	111711564	100.00	100.00		



(I)(b) Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"

SI No. (I)	Name of the shareholder (II)	Total shares held		Shares pledged or otherwise encumbered		
		Number (III)	As a % of grand total (A+B+C) (IV)	Number (V)	As a % (VI)=(V)/(III)*100 (VI)	As a % of grand total (A)+(B)+(C) of sub-clause (I) (a) (VII)
1	RDA Holding & Trading Pvt. Ltd.	64328500	53.99	-	-	-
2	Arnavaz Rohinton Aga	6723305	5.64	-	-	-
3	Meher Pheroz Pudumjee	2275000	1.91	-	-	-
4	Pheroz Pudumjee	345000	0.29	-	-	-
5	Arnavaz Rohinton Aga	165000	0.14	-	-	-
6	Pheroz Naswanjee Pudumjee	12000	0.01	-	-	-
7	Homai N Pudumjee	6000	0.01	-	-	-
8	Meher Pheroz Pudumjee	500	0.00	-	-	-
		73855305	61.99	-	-	-

(I)(c) (i) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares

SI No.	Name of the shareholder	No. of Shares	Shares as a percentage of total no. of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above
1	Life Insurance Corporation of India	2990656	2.51
2	Arnavaz R Aga*	2906250	2.44
3	Mathews Pacific Tiger Fund	2682337	2.25
5	Mathews India Fund	1378128	1.16
	Total	9957371	8.36

* Shares held as Trustee on behalf of the Thermax ESOP Trust and declaration given to the Company under Section 187C of the Companies Act, 1956.

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category Public and holding more than 5% of the total number of shares of the company

Sr.No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital)
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
	TOTAL :	0	0	0	0	0	0	0

(I)(d) Statement showing details of locked-in shares

SI No.	Name of the shareholder	No. of locked-in shares	Locked-in shares as a
		- NA -	
	TOTAL		

(II)(a) Statement showing details of Depository Receipts (DRs)

SI No.	Type of outstanding DR (ADRs, GDRs, SDRs)	No. of outstanding DRs	No. of shares underlying	Shares underlying
1		- NA -		
	TOTAL			

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by promoter/ promoter group are in excess of 1% of the total number of shares

SI No.	Name of the DR Holder	No. of shares underlying outstanding DRs	Shares underlying
1		- NA -	
	TOTAL		



(III)(a) Statement showing the Voting Pattern of shareholders, if more than one class of class of shares/securities is issued by the issuer.

Cate- (I)	Category of Shareholder (II)	Number of Voting Rights held in			Total Voting (III+IV+V) (VI)	Total Voting Rights i.e.	
		Class- Equity (III)	(IV)	(V)		As a % of (A+B) (VII)	As a % (A+B+C) VIII
(A)	Shareholding of Promoter and Promoter Group²						
(1)	Indian						
(a)	Individuals/ Hindu Undivided Family	-	-	-	-	-	-
(b)	Central Government / State Government (s)	-	-	-	-	-	-
(c)	Bodies Corporate	-	-	-	-	-	-
(d)	Financial Institutions / Banks	-	-	-	-	-	-
(e)	Any Other (specify)	-	-	-	-	-	-
	Sub-Total (A)(1)	-	-	-	-	-	-
(2)	Foreign						
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-
(b)	Bodies Corporate	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-
(c)	Any Other (specify)	-	-	-	-	-	-
	Sub-Total (A)(2)	-	-	-	-	-	-
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	-	-	-	-	-	-
(B)	Public Shareholding						
(1)	Institutions						
(a)	Mutual Funds / UTI	-	-	-	-	-	-
(b)	Financial Institutions / Banks	-	-	-	-	-	-
(c)	Central Government / State Government (s)	-	-	-	-	-	-
(d)	Venture Capital Funds	-	-	-	-	-	-
(e)	Insurance Companies	-	-	-	-	-	-
(f)	Foreign Institutional Investors	-	-	-	-	-	-
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-
(h)	Any Other (specify)	-	-	-	-	-	-
	Sub-Total (B)(1)	-	-	-	-	-	-
(2)	Non-institutions						
(a)	Bodies Corporate	-	-	-	-	-	-
(b)	Individuals - (i) Individual shareholders holding nominal sharecapital up to Rs.1 lakh.	-	-	-	-	-	-
(b)	(ii) Individual shareholders holding nominal share capital in excess of Rs.1 lakh	-	-	-	-	-	-
(c)	Any Other (specify)	-	-	-	-	-	-
	Sub-Total (B)(2)	-	-	-	-	-	-
	Total Public Shareholding (B)=(B)(1)+(B)(2)	-	-	-	-	-	-
	TOTAL (A)+(B)	-	-	-	-	-	-
(C)	Shares held by Custodians and against which Depository Receipts have been issued	-	-	-	-	-	-
	GRAND TOTAL (A)+(B)+(C)	-	-	-	-	-	-

