

THERMAX LIMITED THERMAX HOUSE, 14 MUMBAI PUNE ROAD, WAKDEWADI,
PUNE 411 003. INDIA ☐ TEL.: +91-20-25542122 / 25542263
FAX.: +91-20-25541226 ☐ Website : www.thermaxglobal.com
Customer Care : 18002090115 (India Toll Free) ☐ IT PAN - AAAC3910D

Corporate Finance



October 16, 2020

To
The Secretary
BSE Limited
PJ Towers, Dalal Street
Mumbai: 400 001
Company Scrip Code: 500411

National Stock Exchange of India Limited
Exchange Plaza, C-1, Block G,
Bandra Kurla Complex,
Bandra (E)
Mumbai – 400 051
Company Scrip Code: THERMAX EQ

Company's Scrip Code: 500411

Sub: Shareholding Pattern of the Company for the quarter ended September 30, 2020

Ref: Regulation 31 (1) (b) of the SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015

Dear Sir,

With reference to the above and as per the SEBI circular no. CIR/CFD/CMD/13/2015 dated November 30, 2015; we enclose the Shareholding Pattern for the Equity Share Capital of the Company for the quarter ended September 30, 2020.

You are requested to kindly take the same on record.

Thanking you,

Yours faithfully,
For **THERMAX LIMITED,**

Kedar P. Phadke
Company Secretary
Membership No: F3349

Encl: As above

1. Name of Listed Entity: Thermax Limited
2. Scrip Code/Name of Scrip/Class of Security: THERMAX
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2020
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								As a % of (A+B+C2)	No of Voting Rights									
									Clas s eg: X	Clas s eg: y								
A	Promoter & Promoter Group	3	73855305	0	0	73855305	61.98	7385305	0	73855305	61.98	0	0	0	0	73855305		
B	Public	38150	38759555	0	0	38759555	32.53	38759555	0	38759555	32.53	0	0			38524145		
C	Non Promoter-Non Public	49	6541440	0	0	6541440		6541440	0	6541440	5.49	0	0			6541440		
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0	0			0		
C2	Shares held by Employee Trusts	49	6541440	0	0	6541440	5.49	6541440	0	6541440	5.49	0	0			6541440		
	Total	38202	119156300	0	0	119156300	100	119156300	0	119156300	100	0	0	0	0	118920890		

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (i)	PAN (ii)	No. of older shares (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up Equity Shares Held (v)	Nos. of shares underlying Depository Receipts (vi)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares (x)	Shareholding % as a % assuming full conversion of convertible securities (including Warrants) (xi)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)		
								Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)			
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Individuals/Hindu undivided Family		1	6000	0	0	6000	0.01	6000	0	6000	0.01	0	0.01	0	0	0	0	6000
	PHEROZ N PUDUMJEE	AAJPP7065R	1	6000	0	0	6000	0.01	6000	0	6000	0.01	0	0.01	0	0	0	0	6000
b	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Any Other (specify)		2	73849305	0	0	73849305	61.98	73849305	0	73849305	61.98	0	61.98	0	0	0	0	73849305
	Bodies Corporate		2	73849305	0	0	73849305	61.98	73849305	0	73849305	61.98	0	61.98	0	0	0	0	73849305
	RDA HOLDINGS PRIVATE LTD	AAACR9831K	1	64328500	0	0	64328500	53.99	64328500	0	64328500	53.99	0	53.99	0	0	0	0	64328500

	ARA TRUSTEESHIP COMPANY PRIVATE LIMITED	AACT 1 R3403 N	1	9520805	0	0	9520805	7.99	9520805	0	9520805	7.99	0	7.99	0	0	0	0	9520805
	Sub-Total (A)(1)		3	73855305	0	0	73855305	61.98	73855305	0	73855305	61.98	0	61.98	0	0	0	0	73855305
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		3	73855305	0	0	73855305	61.98	73855305	0	73855305	61.98	0	61.98	0	0	0	0	73855305

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Mutual Funds/		20	13745858	0	0	13745858	11.54	13745858	0	13745858	11.54	0	0					13745858
	KOTAK PIONEER FUND	AAA TK4475F	1	7391089	0	0	7391089	6.2	7391089	0	7391089	6.2	0	0					7391089
	SBI BLUE CHIP FUND	AAB TS6407Q	1	2580702	0	0	2580702	2.17	2580702	0	2580702	2.17	0	0					2580702
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Foreign Portfolio Investors		84	12954960	0	0	12954960	10.87	12954960	0	12954960	10.87	0	0					12954960
	NALANDA INDIA EQUITY FUND	AAD CN6131F	1	7458668	0	0	7458668	6.26	7458668	0	7458668	6.26	0	0					7458668

	LIMITED																		
f	Financial Institutions/ Banks		4	7251	0	0	7251	0.01	7251	0	7251	0.01	0	0.01	0	0			7251
g	Insurance Companies		2	2082202	0	0	2082202	1.75	2082202	0	2082202	1.75	0	1.75	0	0			2082202
	LIFE INSURANCE CORPORATION OF INDIA	AAA CL05 82H	1	2056932	0	0	2056932	1.73	2056932	0	2056932	1.73	0	1.73	0	0			2056932
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
i	Any Other (specify)		1	178	0	0	178	0	178	0	178	0	0	0	0	0			178
	Overseas Corporate Bodies		1	178	0	0	178	0	178	0	178	0	0	0	0	0			178
	Sub-Total (B)(1)		111	28790449	0	0	28790449	24.16	28790449	0	28790449	24.16	0	24.16	0	0			28790449
2	Central Government/ State Government(s))/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals -		36207	6086432	0	0	6086432	5.1	6086432	0	6086432	5.1	0	5.1	0	0			5852522

i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	36205	5856157	0	0	5856157	4.91	5856157	0	5856157	4.91	0	4.91	0	0			5622247
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	2	230275	0	0	230275	0.19	230275	0	230275	0.19	0	0.19	0	0			230275
b	NBFCs registered with RBI	2	300	0	0	300	0	300	0	300	0	0	0	0	0			300
c	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)	1830	3882374	0	0	3882374	3.26	3882374	0	3882374	3.26	0	3.26	0	0			3880874
	Bodies Corporate	416	419052	0	0	419052	0.35	419052	0	419052	0.35	0	0.35	0	0			418052
	Clearing Members	52	59953	0	0	59953	0.05	59953	0	59953	0.05	0	0.05	0	0			59953
	IEPF	1	125853	0	0	125853	0.11	125853	0	125853	0.11	0	0.11	0	0			125853
	Non-Resident Indian (NRI)	828	179101	0	0	179101	0.15	179101	0	179101	0.15	0	0.15	0	0			178601
	Others	3	46751	0	0	46751	0.04	46751	0	46751	0.04	0	0.04	0	0			46751
	Others	12	26439	0	0	26439	2.22	26439	0	26439	2.22	0	2.22	0	0			26439

			41			1		41		394								
	Others		514	400190	0	0	400199	0.34	400190	400199	0.34	0	0.34	0	0			400199
	TATA AIA LIFE INSURANCE COMPANY LTD NON UNIT LIN	AAB CT37 84C	1	1545243	0	0	1545243	1.3	15452043	1545243	1.3	0	1.3	0	0			1545243
	Trusts		4	7524	0	0	7524	0.01	7524	7524	0.01	0	0.01	0	0			7524
	Sub-Total (B)(3)		38039	9969106	0	0	9969106	8.37	9969106	9969106	8.37	0	8.37	0	0			9733696
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		38150	38759555	0	0	38759555	32.53	387590555	38759555	32.53	0	32.53	0	0			38524145

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of older shares (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								As a % of (A+B+C2) (VIII)	No of Voting Rights				Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total								
1	Custodian/DR Holder		0	0	0	0		0	0	0	0		0	0			0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		49	654140	0	0	654144	5.49	654140	0	654144	5.49	0	5.49	0	0		6541440
	SHARAD NARAHAR GANGAL	AAA TT5146N	1	2906250	0	0	2906250	2.44	2906250	0	2906250	2.44	0	2.44	0	0		2906250
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)		49	654140	0	0	654144		654140	0	654144	5.49	0		0	0		6541440

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Pheroz Pudumjee	AAJPP7065R		India	61.99	0	0			
A	ARA TRUSTEESHIP COMPANY PVT LTD	AACTR3403N		India	7.99			No	No	23-Sep-2014
B	Pheroz Pudumjee	AAJPP7065R		India	0.01			No	No	04-Apr-2016
C	RDA Holdings Private Limited	AAACR9831K		India	53.99			No	No	13-Oct-2014
2	Meher Pudumjee	AGNPP3413B		India	61.98	0	0			
A	ARA TRUSTEESHIP COMPANY PVT LTD	AACTR3403N		India	7.99			No	No	23-Sep-2014
B	RDA Holdings Private Limited	AAACR9831K		India	53.99			No	No	13-Oct-2014
3	Arnavaz Aga	ADGPA1770Q		India	61.98	0	0			
A	ARA TRUSTEESHIP COMPANY	AACTR3403N		India	7.99			No	No	23-Sep-2014

	PVT LTD									
B	RDA Holdings Private Limited	AAACR9831K		India	53.99			No	No	13-Oct-2014

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %