

THERMAX LIMITED THERMAX HOUSE, 14 MUMBAI PUNE ROAD, WAKDEWADI,
PUNE 411 003. INDIA ☐ TEL.: +91-20-25542122 / 25542263
FAX.: +91-20-25541226 ☐ Website : www.thermaxglobal.com
Customer Care : 18002090115 (India Toll Free) ☐ IT PAN - AAAC3910D

Corporate Finance



October 21, 2020

To
**The Secretary
BSE Limited
PJ Towers, Dalal Street
Mumbai: 400 001**

**National Stock Exchange of India Limited
Exchange Plaza, C-1, Block G,
Bandra Kurla Complex,
Bandra (E)
Mumbai – 400 051**

Company Scrip Code: 500411

Company Scrip Code: THERMAX EQ

Sub: Certificate pursuant to Regulation 40(9) of the SEBI (LODR) Regulations, 2015

Dear Sir,

With reference to the Regulation 40(9) of the SEBI (LODR) Regulations, 2015, we are enclosing a copy of the certificate dated October 20, 2020, issued by Manish Ghia & Associates, Practicing Company Secretaries, regarding issuance of share certificate for transfer, sub-division, consolidation, renewals, etc. for the period April 01, 2020 to September 30, 2020.

You are requested to kindly acknowledge receipt of the above.

Thanking you,

Yours faithfully,
For **THERMAX LIMITED**

**Kedar P. Phadke
Company Secretary**

Encl: As above

CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015, FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2020

TO WHOMSOEVER IT MAY CONCERN

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **Thermax Limited** having its registered office at D-13, MIDC, Industrial Area, R. D. Aga Road, Chinchwad, Pune – 411019 maintained by **KFIN Technologies Private Limited (formerly known as Karvy Fintech Private Limited)**, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from **1st April, 2020 to 30th September, 2020** for the purpose of issuing Certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 by **Thermax Limited** and based on information provided by the Company, we hereby certify that during the half year ended on **30th September, 2020** the Company:

- a) was not required to deliver any share certificates as it has not received any request for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies.



Place: Mumbai
Date: October 20, 2020
UDIN: F006252B001004392

For Manish Ghia & Associates

Company Secretaries

MANISH
LALITCHAN
DRA GHIA

Digitally signed by
MANISH
LALITCHANDRA GHIA
Date: 2020.10.20
14:57:55 +05'30'

CS Manish L. Ghia

Partner

M. No. FCS 6252 C.P. No. 3531

Note: Due to lockdown on account of COVID – 19 Pandemic, we have not been able to carry out physical visit to RTA office for verification of data. The Certificate is based on inputs received via email from RTA and oral confirmation with RTA.