

**THERMAX LIMITED** THERMAX HOUSE, 14 MUMBAI PUNE ROAD, WAKDEWADI,  
PUNE 411 003. INDIA ☐ TEL.: +91-20-25542122 / 25542263  
FAX.: +91-20-25541226 ☐ Website : www.thermaxglobal.com  
Customer Care : 18002090115 (India Toll Free) ☐ IT PAN - AAAC3910D

Corporate Finance



April 14, 2021

To  
**The Secretary  
BSE Limited  
PJ Towers, Dalal Street  
Mumbai: 400 001**

**National Stock Exchange of India Limited  
Exchange Plaza, C-1, Block G,  
Bandra Kurla Complex,  
Bandra (E)  
Mumbai – 400 051**

Company Scrip Code: 500411

Company Scrip Code: THERMAX EQ

**Sub: Certificate pursuant to Regulation 40(9) of the SEBI (LODR) Regulations, 2015**

Dear Sir,

With reference to the Regulation 40(9) of the SEBI (LODR) Regulations, 2015, we are enclosing signed certificate dated April 14, 2021, issued by Manish Ghia & Associates, Practicing Company Secretaries, regarding issuance of share certificate for transfer, sub-division, consolidation, renewals, etc. for the period October 1, 2020 to March 31, 2021.

You are requested to kindly acknowledge receipt of the above.

Thanking you,

Yours faithfully,

For **THERMAX LIMITED**

**Kedar P. Phadke  
Company Secretary**

Encl: As above

**CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE BOARD OF INDIA  
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015  
FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2021**

**TO WHOMSOEVER IT MAY CONCERN**

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **Thermax Limited** having its registered office at D-13, MIDC, Industrial Area, R. D. Aga Road, Chinchwad, Pune - 411019 maintained by **KFin Technologies Private Limited (formerly known as Karvy Fintech Private Limited)**, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021** for the purpose of issuing Certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 by **Thermax Limited** and based on information provided by the Company, we hereby certify that during the half year ended on **31<sup>st</sup> March, 2021** the Company:

- a) was not required to deliver any share certificates as it has not received any request for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies.

For Manish Ghia & Associates

Company Secretaries

(Unique ID: P2006MH007100)



*Mannish L. Ghia*

Mannish L. Ghia

Partner

M. No. FCS 6252 C.P. No. 3531

PR 822/2020

Place : Mumbai

Date : April 14, 2021

UDIN: F006252C000085188

**Note:** We have not been able to carry out physical visit to RTA office for verification of data on account of various travel and other restrictions by authorities due to Covid-19 situation. The Report is as such based on inputs received via email from RTA and oral confirmation with RTA.