

1. Name of Listed Entity: Thermax Limited
2. Scrip Code/Name of Scrip/Class of Security: THERMAX
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2021
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Warrants (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)	
			Class eg: X	Class eg: y	Total												
A	Promoter & Promoter Group	3	73855305	0	0	73855305	61.98	73855305	0	73855305	61.98	0	0	0	0	73855305	
B	Public	31963	38759555	0	0	38759555	32.53	38759555	0	38759555	32.53	0	0			38553395	
C	Non Promoter-Non Public	49	6541440	0	0	6541440	5.49	6541440	0	6541440	5.49	0	0			6541440	
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0	0			0	
C2	Shares held by Employee Trusts	49	6541440	0	0	6541440	5.49	6541440	0	6541440	5.49	0	0			6541440	
	Total	32015	119156300	0	0	119156300	100	119156300	0	119156300	100	0	0	0	0	118950140	

d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		3	73855305	0	0	73855305	61.98	73855305	0	73855305	61.98	0	61.98	0	0	0	0	0	73855305

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII= IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
l	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Mutual Funds/		21	14277135	0	0	14277135	11.98	14277135	0	14277135	11.98	0	0					14277135
	KOTAK EMERGING EQUITY SCHEME	AAATK4475F	1	8308669	0	0	8308669	6.97	8308669	0	8308669	6.97	0	0					8308669
	SBI MAGNUM MIDCAP FUND	AABTS6407Q	1	2580702	0	0	2580702	2.17	2580702	0	2580702	2.17	0	0					2580702
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Alternate Investment Funds		2	18737	0	0	18737	0.02	18737	0	18737	0.02	0	0					18737
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Foreign Portfolio Investors		110	14720197	0	0	14720197	12.35	14720197	0	14720197	12.35	0	0					14720197
	NALANDA INDIA EQUITY FUND LIMITED	AADCN6131F	1	8176668	0	0	8176668	6.86	8176668	0	8176668	6.86	0	0					8176668
f	Financial Institutions/ Banks		2	681	0	0	681	0	681	0	681	0	0	0	0	0	0	0	681
g	Insurance Companies		1	15270	0	0	15270	0.01	15270	0	15270	0.01	0	0					15270
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
i	Any Other (specify)		5	3557934	0	0	3557934	2.99	3557934	0	3557934	2.99	0	0					3557934
	Insurance Companies		1	1271044	0	0	1271044	1.07	1271044	0	1271044	1.07	0	0					1271044

	LIFE INSURANCE CORPORATION OF INDIA	AAACL0582H	1	1271044	0	0	1271044	1.07	1271044	0	1271044	1.07	0	1.07	0	0		1271044
	Other		5	3557934	0	0	3557934	2.99	3557934	0	3557934	2.99	0	2.99	0	0		3557934
	TATA AIA LIFE INSURANCE COMPANY LIMITED A/C DIAMON	AABCT3784C	1	2070999	0	0	2070999	1.74	2070999	0	2070999	1.74	0	1.74	0	0		2070999
	Sub-Total (B)(1)		141	32589954	0	0	32589954	27.35	32589954	0	32589954	27.35	0	27.35	0	0		32589954
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
a	Individuals -		30324	5216378	0	0	5216378	4.37	5216378	0	5216378	4.37	0	4.37	0	0		5011718
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		30322	4986103	0	0	4986103	4.18	4986103	0	4986103	4.18	0	4.18	0	0		4781443
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		2	230275	0	0	230275	0.19	230275	0	230275	0.19	0	0.19	0	0		230275
b	NBFCs registered with RBI		2	300	0	0	300	0	300	0	300	0	0	0	0	0		300
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
e	Any Other (specify)		1496	952923	0	0	952923	0.8	952923	0	952923	0.8	0	0.8	0	0		951423
	Bodies Corporate		316	328425	0	0	328425	0.28	328425	0	328425	0.28	0	0.28	0	0		327425
	Clearing Members		34	10242	0	0	10242	0.01	10242	0	10242	0.01	0	0.01	0	0		10242
	IEPF		1	132749	0	0	132749	0.11	132749	0	132749	0.11	0	0.11	0	0		132749
	Non-Resident Indian (NRI)		679	141520	0	0	141520	0.12	141520	0	141520	0.12	0	0.12	0	0		141020
	Others		463	335250	0	0	335250	0.28	335250	0	335250	0.28	0	0.28	0	0		335250
	Trusts		3	4737	0	0	4737	0	4737	0	4737	0	0	0	0	0		4737

Sub-Total (B)(3)		31822	6169601	0	0	6169601	5.18	6169601	0	6169601	5.18	0	5.18	0	0		5963441
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		31963	3875955	0	0	3875955	32.53	3875955	0	3875955	32.53	0	32.53	0	0		38553395

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of shareholding (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)
								Class X	Class Y	Total				No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)	
1 Custodian/DR Holder		0	0	0	0	0		0	0	0	0	0	0	0	0		0	
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		49	6541440	0	0	6541440	5.49	6541440	0	6541440	5.49	0	5.49	0	0		6541440	
SHARAD NARAHAR GANGAL	AAATT5146N	1	2906250	0	0	2906250	2.44	2906250	0	2906250	2.44	0	2.44	0	0		2906250	
Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)		49	6541440	0	0	6541440		6541440	0	6541440	5.49	0		0	0		6541440	

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Pheroze Pudumjee	AAJPP7065R		India	61.99	0	0			
A	ARA TRUSTEESHIP COMPANY PVT LTD	AACTR3403N		India	7.99			No	No	23-Sep-2014
B	Pheroze Pudumjee	AAJPP7065R		India	0.01			Yes	No	04-Apr-2016

C	RDA Holdings Private Limited	AAACR9831K		India	53.99			No	No	13-Oct-2014
2	Meher Pudumjee	AGNPP3413B		India	61.98	0	0			
A	ARA TRUSTEESHIP COMPANY PVT LTD	AACTR3403N		India	7.99			No	No	23-Sep-2014
B	RDA Holdings Private Limited	AAACR9831K		India	53.99			No	No	13-Oct-2014
3	Arnavaz Aga	ADGPA1770Q		India	61.98	0	0			
A	ARA TRUSTEESHIP COMPANY PVT LTD	AACTR3403N		India	7.99			No	No	23-Sep-2014
B	RDA Holdings Private Limited	AAACR9831K		India	53.99			No	No	13-Oct-2014

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %